| 項目 Item | 公司名稱 Name of Company | 違規事項(見附註) Types of Breaches (See Note) | 罰款數額(港元)* Fine Imposed (HKD) * |
|------------|--|--|--------------------------------|
| | 一月至十二月 January to December | | |
| 1 | ALCO HOLDINGS LIMITED | 1 | \$72,000 |
| 2 | 全民國際集團有限公司 ALL NATION INTERNATIONAL GROUP LIMITED | 1 | \$10,000 |
| 3 | AMCO UNITED HOLDING LIMITED | 1 | \$17,500 |
| 4 | 巴克1798集團有限公司 BALK 1798 GROUP LIMITED | 12 | \$5,000 |
| 5 | 貴州銀行股份有限公司 BANK OF GUIZHOU CO., LTD. | 1 | \$15,000 |
| 6 | BAWANG INTERNATIONAL (GROUP) HOLDING LIMITED | 1 | \$12,000 |
| 7 | BEAUTY FARM MEDICAL AND HEALTH INDUSTRY INC. | 1 | \$21,800 |
| 8 | 北京北大青鳥環宇科技股份有限公司 BEIJING BEIDA JADE BIRD UNIVERSAL SCI-TECH COMPANY LIMITED | 1 | \$16,200 |
| 9 | 精英匯集團控股有限公司 BEXCELLENT GROUP HOLDINGS LIMITED | 1 | \$30,000 |
| 10 | 春能控股有限公司 C&N HOLDINGS LIMITED | 4 | \$24,000 |
| 11 | CAPITAL INDUSTRIAL FINANCIAL SERVICES GROUP LIMITED | 1 | \$30,000 |
| 12 | 國茂控股有限公司 CBK HOLDINGS LIMITED | 1 | \$12,000 |
| 13 | 世紀娛樂國際控股有限公司 CENTURY ENTERTAINMENT INTERNATIONAL HOLDINGS LIMITED | 1 | \$22,900 |

| 項目 Item | 公司名稱 Name of Company | 違規事項(見附註) Types of Breaches (See Note) | 罰款數額(港元)* Fine Imposed (HKD) * |
|------------|---|--|--------------------------------------|
| | 一月至十二月 January to December | | |
| 14 | 中國金融租賃集團有限公司 CHINA FINANCIAL LEASING GROUP LIMITED | 1 | \$23,400 |
| 15 | 中國信息科技發展有限公司 CHINA INFORMATION TECHNOLOGY DEVELOPMENT LIMITED | 2 | \$35,000 |
| 16 | 中國通商集團有限公司 CHINA INFRASTRUCTURE & LOGISTICS GROUP LTD. | 2 | \$12,000 |
| 17 | 中國基建投資有限公司 CHINA INFRASTRUCTURE INVESTMENT LIMITED | 1 | \$12,000 |
| 18 | 中國納泉能源科技控股有限公司 CHINA NATURE ENERGY TECHNOLOGY HOLDINGS LIMITED | 1 | \$15,400 |
| 19 | 中國儒意控股有限公司 CHINA RUYI HOLDINGS LIMITED | 2 | \$35,000 |
| 20 | 中國供應鏈產業集團有限公司 CHINA SUPPLY CHAIN HOLDINGS LIMITED | 1 | \$26,000 |
| 21 | 中薇金融控股有限公司 CHINA VERED FINANCIAL HOLDING CORPORATION LIMITED | 3 | \$15,100 |
| 22 | CHINA ZHONGWANG HOLDINGS LIMITED | 1 | \$30,000 |
| 23 | 中港石油有限公司 CHK OIL LIMITED | 2 | \$31,000 |
| 24 | 重慶機電股份有限公司 CHONGQING MACHINERY & ELECTRIC CO., LTD. | 1 | \$27,000 |
| 25 | 克莉絲汀國際控股有限公司 CHRISTINE INTERNATIONAL HOLDINGS LIMITED | 1 | \$60,000 |
| 26 | 中信証券股份有限公司 CITIC SECURITIES COMPANY LIMITED | 1 | \$28,500 |

| 項目 Item | 公司名稱 Name of Company | 違規事項(見附註) Types of Breaches (See Note) | 罰款數額(港元)* Fine Imposed (HKD)* |
|------------|---|--|-------------------------------------|
| | 一月至十二月 January to December | | |
| 27 | 中國新華電視控股有限公司 CNC HOLDINGS LIMITED | 4 | \$40,000 |
| 28 | (中國海洋石油有限公司) CNOOC LIMITED | 5 | \$30,000 |
| 29 | 快意智能股份有限公司 COOLPOINT INNONISM HOLDING LIMITED | 1 | \$15,700 |
| 30 | 皇冠環球集團有限公司 CROWN INTERNATIONAL CORPORATION LIMITED | 3, 5 | \$50,000 |
| 31 | 中船海洋與防務裝備股份有限公司 CSSC OFFSHORE & MARINE ENGINEERING (GROUP) COMPANY LIMITED | 1 | \$16,000 |
| 32 | 德泰新能源集團有限公司 DETAI NEW ENERGY GROUP LIMITED | 1 | \$44,900 |
| 33 | 鼎豐集團汽車有限公司 DIFFER GROUP AUTO LIMITED | 2 | \$15,100 |
| 34 | 龍皇集團控股有限公司 DRAGON KING GROUP HOLDINGS LIMITED | 1,2 | \$36,000 |
| 35 | 遠東控股國際有限公司 FAR EAST HOLDINGS INTERNATIONAL LIMITED | 3 | \$24,000 |
| 36 | 富陽(中國)控股有限公司 FORTUNE SUN (CHINA) HOLDINGS LIMITED | 1 | \$10,000 |
| 37 | 巨子生物控股有限公司 GIANT BIOGENE HOLDING CO., LTD | 1 | \$12,000 |
| 38 | 弘海高新資源有限公司 GRAND OCEAN ADVANCED RESOURCES COMPANY LIMITED | 1 | \$28,000 |
| 39 | (恒生銀行有限公司) HANG SENG BANK, LIMITED | 8 | \$6,900 |

| 項目 Item | 公司名稱 Name of Company | 違規事項(見附註) Types of Breaches (See Note) | 罰款數額(港元)* Fine Imposed (HKD) * |
|------------|---|--|--------------------------------|
| | 一月至十二月 January to December | | |
| 40 | 恆泰裕集團控股有限公司 HANG TAI YUE GROUP HOLDINGS LIMITED | 1 | \$22,200 |
| 41 | 香港航天科技集團有限公司 HONG KONG AEROSPACE TECHNOLOGY GROUP LIMITED | 1 | \$12,000 |
| 42 | 鴻偉(亞洲)控股有限公司 HONG WEI (ASIA) HOLDINGS COMPANY LIMITED | 3 | \$15,000 |
| 43 | 華新水泥股份有限公司 HUAXIN CEMENT CO., LTD. | 1 | \$24,000 |
| 44 | 匯通達網絡股份有限公司 HUITONGDA NETWORK CO., LTD. | 1 | \$12,000 |
| 45 | 帝國金融集團有限公司 IMPERIUM FINANCIAL GROUP LIMITED | 1 | \$12,000 |
| 46 | 中國工商銀行股份有限公司 INDUSTRIAL AND COMMERCIAL BANK OF CHINA LIMITED | 8 | \$24,000 |
| 47 | 鐵江現貨有限公司 IRC LIMITED | 3 | \$79,000 |
| 48 | 國際永勝集團控股有限公司 IWS GROUP HOLDINGS LIMITED | 1 | \$12,000 |
| 49 | 駿高控股有限公司 JANCO HOLDINGS LIMITED | 1 | \$120,000 |
| 50 | 金茂物業服務發展股份有限公司 JINMAO PROPERTY SERVICES CO., LIMITED | 3 | \$15,000 |
| 51 | 僑雄國際控股有限公司 KIU HUNG INTERNATIONAL HOLDINGS LIMITED | 1 | \$30,000 |
| 52 | 深圳市元征科技股份有限公司 LAUNCH TECH COMPANY LIMITED | 1 | \$18,000 |

| 項目 Item | 公司名稱 Name of Company | 違規事項(見附註) Types of Breaches (See Note) | 罰款數額(港元)* Fine Imposed (HKD)* |
|------------|---|--|-------------------------------------|
| | 一月至十二月 January to December | | |
| 53 | 聯想控股股份有限公司 LEGEND HOLDINGS CORPORATION | 1 | \$13,000 |
| 54 | 朸濬國際集團控股有限公司 LEGEND STRATEGY INTERNATIONAL HOLDINGS GROUP COMPANY LIMITED | 1 | \$12,000 |
| 55 | 利華控股集團 LEVER STYLE CORPORATION | 1 | \$27,500 |
| 56 | 環亞國際醫療科技集團有限公司 LINK-ASIA INTERNATIONAL MEDTECH GROUP LIMITED | 1 | \$23,000 |
| 57 | 微創心通醫療科技有限公司 MICROPORT CARDIOFLOW MEDTECH CORPORATION | 1 | \$20,000 |
| 58 | 民富國際控股有限公司 MIN FU INTERNATIONAL HOLDING LIMITED | 1 | \$12,000 |
| 59 | 南京三寶科技股份有限公司 NANJING SAMPLE TECHNOLOGY COMPANY LIMITED | 1 | \$25,000 |
| 60 | NATIONAL ELECTRONICS HOLDINGS LIMITED | 1, 2 | \$24,000 |
| 61 | NEW FOCUS AUTO TECH HOLDINGS LIMITED | 1 | \$12,000 |
| 62 | 新耀萊國際集團有限公司 NEW SPARKLE ROLL INTERNATIONAL GROUP LIMITED | 1 | \$20,000 |
| 63 | 旅橙文化控股有限公司 ORANGE TOUR CULTURAL HOLDING LIMITED | 1 | \$39,850 |
| 64 | 東方滙財證券國際控股有限公司 ORIENT SECURITIES INTERNATIONAL HOLDINGS LIMITED | 1 | \$22,000 |
| 65 | 保利置業集團有限公司 POLY PROPERTY GROUP CO., LIMITED | 5 | \$28,000 |

| 項目 Item | 公司名稱 Name of Company | 違規事項(見附註) Types of Breaches (See Note) | 罰款數額(港元)* Fine Imposed (HKD)* |
|------------|---|--|-------------------------------------|
| | 一月至十二月 January to December | | |
| 66 | 寶勝國際(控股)有限公司 POU SHENG INTERNATIONAL (HOLDINGS) LIMITED | 1 | \$30,000 |
| 67 | 保德國際發展企業有限公司 PT INTERNATIONAL DEVELOPMENT CORPORATION LIMITED | 1 | \$18,150 |
| 68 | 紅星美凱龍家居集團股份有限公司 RED STAR MACALLINE GROUP CORPORATION LTD. | 1 | \$12,000 |
| 69 | 修身堂控股有限公司 SAU SAN TONG HOLDINGS LIMITED | 1 | \$30,000 |
| 70 | 財訊傳媒集團有限公司 SEEC MEDIA GROUP LIMITED | 1 | \$30,000 |
| 71 | 上海青浦消防器材股份有限公司 SHANGHAI QINGPU FIRE-FIGHTING EQUIPMENT CO., LTD. | 1 | \$23,000 |
| 72 | 銀建國際控股集團有限公司 SILVER GRANT INTERNATIONAL HOLDINGS GROUP LIMITED | 3 | \$29,000 |
| 73 | 興科蓉醫藥控股有限公司 SINCO PHARMACEUTICALS HOLDINGS LIMITED | 1 | \$12,000 |
| 74 | 所羅門環球控股有限公司 SOLOMON WORLDWIDE HOLDINGS LIMITED | 1 | \$14,000 |
| 75 | 融創服務控股有限公司 SUNAC SERVICES HOLDINGS LIMITED | 1 | \$18,000 |
| 76 | 新威工程集團有限公司 SUNRAY ENGINEERING GROUP LIMITED | 4 | \$43,600 |
| 77 | 嚐•高美集團有限公司 TASTE•GOURMET GROUP LIMITED | 1 | \$11,750 |
| 78 | 賞之味控股有限公司 TASTY CONCEPTS HOLDING LIMITED | 1 | \$29,200 |

| 項目 Item | 公司名稱 Name of Company | 違規事項(見附註) Types of Breaches (See Note) | 罰款數額(港元)* Fine Imposed (HKD) * |
|------------|--|--|--------------------------------------|
| | 一月至十二月 January to December | | |
| 79 | TEAMWAY INTERNATIONAL GROUP HOLDINGS LIMITED | 1 | \$14,200 |
| 80 | 天成控股有限公司 TIAN CHENG HOLDINGS LIMITED | 1 | \$16,300 |
| 81 | 威勝控股有限公司 WASION HOLDINGS LIMITED | 1 | \$13,700 |
| 82 | 富譽控股有限公司 WEALTH GLORY HOLDINGS LIMITED | 4 | \$30,000 |
| 83 | 中國西部水泥有限公司 WEST CHINA CEMENT LIMITED | 1 | \$25,400 |
| 84 | WLS HOLDINGS LIMITED | 1 | \$16,300 |
| 85 | 皓天財經集團控股有限公司 WONDERFUL SKY FINANCIAL GROUP HOLDINGS LIMITED | 4 | \$24,000 |
| 86 | 喆麗控股有限公司 YESASIA HOLDINGS LIMITED | 5 | \$12,000 |
| 87 | 潤利海事集團控股有限公司 YUN LEE MARINE GROUP HOLDINGS LIMITED | 1 | \$50,700 |
| 88 | 中基長壽科學集團有限公司 ZHONG JI LONGEVITY SCIENCE GROUP LIMITED | 1 | \$20,000 |
| 89 | 北京首都國際機場股份有限公司 | 1 | \$15,000 |

| 附註 (N | (ote) |
|-------|--|
| 1 | 未有或逾期遞交註冊非香港公司更改公司秘書、董事或獲授權代表的資料更改申報表 (《公司條例》第791(2)(b)或791(2)(c)條) Failure in or Late Filing of Return of Changes of Company Secretary, Directors or Authorized Representatives of Registered Non-Hong Kong Company under sections 791(2)(b) or 791(2)(c) of the Companies Ordinance |
| 2 | 未有或逾期遞交就註冊非香港公司的註冊詳情更改而須遞交的申報表 (《公司條例》第791條,不包括791(2)(b)或791(2)(c)條) Failure in or Late Filing of Return required for changes in the Registered Particulars of Registered Non-Hong Kong Companies under section 791 except sections 791(2)(b) or 791(2)(c) of the Companies Ordinance |
| 3 | 未有或逾期遞交更改公司秘書及董事資料通知書(《公司條例》第645或652條) Failure in or Late Filing of Notice of Changes of Company Secretary and Directors under section 645 or 652 of the Companies Ordinance |
| 4 | 未有或逾期遞交公司的周年申報表及有關的公司帳目 (《公司條例》第662或788/789條) Failure in or Late Filing of Annual Returns and Accounts under section 662 or 788/789 of the Companies Ordinance |
| 5 | 未有或逾期遞交股份配發申報書 (《公司條例》第142(1)條) Failure in or Late Filing of Return of Allotment under section 142(1) of the Companies Ordinance |
| 6 | 未有或逾期遞交公司章程細則修改通知書及經修改後章程細則的核證文本 (《公司條例》第88條) Failure in or Late Filing of Notice of Alteration of Company's Articles and a certified copy of the Articles as altered under Sections 88 of the Companies Ordinance |
| 7 | 未有或逾期遞交決議 (《公司條例》第622條) Failure in or Late Filing of Resolution under Section 622 of the Companies Ordinance |
| 8 | 未有或逾期遞交承按人行使財產管有權或不再管有財產通知書(《公司條例》第349(1)或350(3)條) Failure in or Late Filing of Notice of Mortgagee Entering into or Going out of Possession of Property under sections 349(1) or 350(3) of the Companies Ordinance |
| 9 | 未有或逾期遞交贖回或回購股份申報表 (《公司條例》第270(1)條) Failure in or Late Filing of Return of Share Redemption or Buy-back under section 270(1) of the Companies Ordinance |
| 10 | 未有或逾期遞交註冊非香港公司更改公司秘書、董事或獲授權代表的資料更改通知書 (《前身條例》第335(1)(b)或335(1)(c)條) Failure in or Late Filing of Notification of Changes of Secretary, Directors or Authorized Representatives of Registered Non-Hong Kong Companies under sections 335(1)(b) or 335(1)(c) of the predecessor Ordinance |
| 11 | 未有或逾期遞交就註冊非香港公司的註冊詳情更改而須遞交的通知書 (《前身條例》第335條,不包括第335(1)(b)及335(1)(c)條) Failure in or Late Filing of Notification required for changes in the Registered Particulars of Registered Non-Hong Kong Companies under section 335 except sections 335(1)(b) and 335(1)(c) of the predecessor Ordinance |
| 12 | 未有或逾期遞交註冊非香港公司變更法團名稱申報表(《公司條例》第778條) Failure in or Late Filing of Return of Alteration of Corporate Name of Registered Non-Hong Kong Company under section 778 of the Companies Ordinance [以上提述的《前身條例》是指《公司條例》(第622章)生效日期前不時有效的 《公司條例》(第32章) Reference to the predecessor Ordinance above means the Companies Ordinance (Cap. 32) as in force from time to time before the commencement date of the Companies Ordinance (Cap.622)] |
| * | a) 罰款已包括因持續失責所處的按日計算失責罰款 The fine includes a daily default fine for continued default b) 罰款祗涉及被定罪的上市公司,並不涉及其附屬公司 The fine relates only to the listed company convicted of the offence and does not relate to its subsidiaries |