

二零二一年根據《公司條例》被檢控的上市公司的定罪記錄
Conviction Record of Listed Companies Prosecuted Under the Companies Ordinance in 2021

項目 Item	公司名稱 Name of Company	違規事項(見附註) Types of Breaches (See Note)	罰款數額(港元)* Fine Imposed (HKD) *
一月至七月 January to July		(八月記錄/Record of August)	
1	奧克斯國際控股有限公司 AUX INTERNATIONAL HOLDINGS LIMITED	1	\$12,000
2	重慶銀行股份有限公司 BANK OF CHONGQING CO., LTD.	1	\$15,000
3	北京體育文化產業集團有限公司 BEIJING SPORTS AND ENTERTAINMENT INDUSTRY GROUP LIMITED	1	\$25,050
4	建業新生活有限公司 CENTRAL CHINA NEW LIFE LIMITED	1	\$36,000
5	中環控股集團有限公司 CENTRAL HOLDING GROUP CO. LTD.	1	\$11,650
6	中國創意數碼娛樂有限公司 CHINA CREATIVE DIGITAL ENTERTAINMENT LIMITED	1	\$15,000
7	中國康大食品有限公司 CHINA KANGDA FOOD COMPANY LIMITED	1	\$58,000
8	CHINA LNG GROUP LIMITED	1	\$17,000
9	中國海外諾信國際控股有限公司 CHINA OVERSEAS NUOXIN INTERNATIONAL HOLDINGS LIMITED	1	\$20,000
10	華潤萬象生活有限公司 CHINA RESOURCES MIXC LIFESTYLE SERVICES LIMITED	2	\$8,000
11	中國賽特集團有限公司 CHINA SAITE GROUP COMPANY LIMITED	1	\$16,000
12	中信證券股份有限公司 CITIC SECURITIES COMPANY LIMITED	1	\$17,640
13	德基科技控股有限公司 D&G TECHNOLOGY HOLDING COMPANY LIMITED	1	\$15,450
14	永豐集團控股有限公司 EVER HARVEST GROUP HOLDINGS LIMITED	2	\$15,000

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15	尚晉(國際)控股有限公司 FORWARD FASHION (INTERNATIONAL) HOLDINGS COMPANY LIMITED	1	\$20,000
16	致浩達控股有限公司 GEOTECH HOLDINGS LTD.	1	\$15,000
17	輝煌科技(控股)有限公司 GLORY MARK HI-TECH (HOLDINGS) LIMITED	1, 2	\$24,000
18	寶新金融集團有限公司 GLORY SUN FINANCIAL GROUP LIMITED	1	\$12,640
19	國美金融科技有限公司 GOME FINANCE TECHNOLOGY CO., LTD.	1	\$20,000
20	廣駿集團控股有限公司 GRAND TALENTS GROUP HOLDINGS LIMITED	2	\$15,000
21	香港金融投資控股集團有限公司 HONG KONG FINANCE INVESTMENT HOLDING GROUP LIMITED	1	\$13,000
22	海吉亞醫療控股有限公司 HYGEIA HEALTHCARE HOLDINGS CO., LIMITED	2	\$15,000
23	諾誠健華醫藥有限公司 INNOCARE PHARMA LIMITED	2	\$15,000
24	金山能源集團有限公司 KING STONE ENERGY GROUP LIMITED	5	\$15,000
25	昆明滇池水務股份有限公司 KUNMING DIANCHI WATER TREATMENT CO., LTD.	1	\$19,100
26	樂亞國際控股有限公司 L & A INTERNATIONAL HOLDINGS LIMITED	1	\$17,120
27	深圳市元征科技股份有限公司 LAUNCH TECH COMPANY LIMITED	1	\$15,000
28	靈寶黃金集團股份有限公司 LINGBAO GOLD GROUP COMPANY LTD.	1	\$15,000

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29	智中國際控股有限公司 LOTUS HORIZON HOLDINGS LIMITED	1	\$20,000
30	民信國際控股有限公司 MANSION INTERNATIONAL HOLDINGS LIMITED	1	\$25,400
31	澳能建設控股有限公司 MECOM POWER AND CONSTRUCTION LIMITED	1	\$21,600
32	醫匯集團有限公司 MEDINET GROUP LIMITED	2	\$12,000
33	微創心通醫療科技有限公司 MICROPORT CARDIOFLOW MEDTECH CORPORATION	1	\$7,000
34	移動互聯(中國)控股有限公司 MOBILE INTERNET (CHINA) HOLDINGS LIMITED	1	\$30,000
35	NEWOCEAN ENERGY HOLDINGS LIMITED	1	\$20,000
36	萬里印刷有限公司 PROSPEROUS PRINTING COMPANY LIMITED	3	\$25,000
37	順風國際清潔能源有限公司 SHUNFENG INTERNATIONAL CLEAN ENERGY LIMITED	1	\$15,000
38	中視金橋國際傳媒控股有限公司 SINOMEDIA HOLDING LIMITED	9	\$18,000
39	恆宇集團控股有限公司 SPACE GROUP HOLDINGS LIMITED	1	\$12,000
40	渣打集團有限公司 STANDARD CHARTERED PLC	1	\$20,000
41	泰錦控股有限公司 TAI KAM HOLDINGS LIMITED	1	\$15,000
42	WAI CHUN GROUP HOLDINGS LIMITED	1	\$18,600

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43	富道集團有限公司 WEALTHY WAY GROUP LIMITED	2	\$18,000
44	環宇物流(亞洲)控股有限公司 WORLD-LINK LOGISTICS (ASIA) HOLDING LIMITED	1	\$10,000
45	信陽毛尖集團有限公司 XINYANG MAOJIAN GROUP LIMITED	1	\$25,500
46	浙江長安仁恒科技股份有限公司 ZHEJIANG CHANG'AN RENHENG TECHNOLOGY CO., LTD	1	\$40,000
47	江蘇寧滬高速公路股份有限公司	1	\$29,200

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附註 (Note)

1	未有或逾期遞交註冊非香港公司更改公司秘書、董事或獲授權代表的資料更改申報表(《公司條例》第791(2)(b)或791(2)(c)條) Failure in or Late Filing of Return of Changes of Company Secretary, Directors or Authorized Representatives of Registered Non-Hong Kong Company under sections 791(2)(b) or 791(2)(c) of the Companies Ordinance		
2	未有或逾期遞交就註冊非香港公司的註冊詳情更改而須遞交的申報表(《公司條例》第791條, 不包括791(2)(b)或791(2)(c)條) Failure in or Late Filing of Return required for changes in the Registered Particulars of Registered Non-Hong Kong Companies under section 791 except sections 791(2)(b) or 791(2)(c) of the Companies Ordinance		
3	未有或逾期遞交更改公司秘書及董事資料通知書(《公司條例》第645或652條) Failure in or Late Filing of Notice of Changes of Company Secretary and Directors under section 645 or 652 of the Companies Ordinance		
4	未有或逾期遞交公司的周年申報表及有關的公司帳目(《公司條例》第662或788/789條) Failure in or Late Filing of Annual Returns and Accounts under section 662 or 788/789 of the Companies Ordinance		
5	未有或逾期遞交股份配發申報書(《公司條例》第142(1)條) Failure in or Late Filing of Return of Allotment under section 142(1) of the Companies Ordinance		
6	未有或逾期遞交公司章程細則修改通知書及經修改後章程細則的核證文本(《公司條例》第88條) Failure in or Late Filing of Notice of Alteration of Company's Articles and a certified copy of the Articles as altered under Sections 88 of the Companies Ordinance		
7	未有或逾期遞交決議(《公司條例》第622條) Failure in or Late Filing of Resolution under Section 622 of the Companies Ordinance		
8	未有或逾期遞交承接人行使財產管有權或不再管有財產通知書(《公司條例》第349(1)或350(3)條) Failure in or Late Filing of Notice of Mortgagee Entering into or Going out of Possession of Property under sections 349(1) or 350(3) of the Companies Ordinance		
9	未有或逾期遞交贖回或回購股份申報表(《公司條例》第270(1)條) Failure in or Late Filing of Return of Share Redemption or Buy-back under section 270(1) of the Companies Ordinance		
10	未有或逾期遞交註冊非香港公司更改公司秘書、董事或獲授權代表的資料更改通知書(《前身條例》第335(1)(b)或335(1)(c)條) Failure in or Late Filing of Notification of Changes of Secretary, Directors or Authorized Representatives of Registered Non-Hong Kong Companies under sections 335(1)(b) or 335(1)(c) of the predecessor Ordinance		
11	未有或逾期遞交就註冊非香港公司的註冊詳情更改而須遞交的通知書(《前身條例》第335條, 不包括第335(1)(b)及335(1)(c)條) Failure in or Late Filing of Notification required for changes in the Registered Particulars of Registered Non-Hong Kong Companies under section 335 except sections 335(1)(b) and 335(1)(c) of the predecessor Ordinance [以上提及的《前身條例》是指《公司條例》(第622章)生效日期前不時有效的《公司條例》(第32章) Reference to the predecessor Ordinance above means the Companies Ordinance (Cap. 32) as in force from time to time before the commencement date of the Companies Ordinance (Cap. 622)]		
*	a) 罰款已包括因持續失責所處的按日計算失責罰款 The fine includes a daily default fine for continued default b) 罰款祇涉及被定罪的上市公司, 並不涉及其附屬公司 The fine relates only to the listed company convicted of the offence and does not relate to its subsidiaries		