

二零二四年根據《公司條例》被檢控的上市公司的定罪記錄  
Conviction Record of Listed Companies Prosecuted Under the Companies Ordinance in 2024

| 項目<br>Item                 | 公司名稱<br>Name of Company   | 違規事項(見附註)<br>Types of Breaches<br>(See Note) | 罰款數額(港元)*<br>Fine Imposed<br>(HKD) * |
|----------------------------|---|--|--------------------------------------|
| 一月至九月 January to September |   | <a href="#">(十月記錄/Record of October)</a>     |                                      |
| 1                          | 金至尊集團(國際)有限公司(前稱香港資源控股有限公司)<br>3DG HOLDINGS (INTERNATIONAL) LIMITED (FORMERLY KNOWN AS HONG KONG RESOURCES HOLDINGS COMPANY LIMITED CARRYING ON BUSINESS IN H.K. AS HKRH CHINA LIMITED) | 1  | \$13,000                             |
| 2                          | 安保工程控股有限公司<br>ABLE ENGINEERING HOLDINGS LIMITED   | 2  | \$35,500                             |
| 3                          | 沭人壹方控股有限公司<br>ALLUREFEM HOLDING LIMITED   | 4  | \$42,200                             |
| 4                          | ASIA TELEVISION HOLDINGS LIMITED  | 1  | \$36,700                             |
| 5                          | AV CONCEPT HOLDINGS LIMITED   | 1  | \$13,000                             |
| 6                          | 基地錦標集團控股有限公司<br>BASETROPHY GROUP HOLDINGS LIMITED   | 1  | \$15,000                             |
| 7                          | 華夏文化科技集團有限公司<br>CA CULTURAL TECHNOLOGY GROUP LIMITED  | 1  | \$39,500                             |
| 8                          | 建業新生活有限公司<br>CENTRAL CHINA NEW LIFE LIMITED   | 1  | \$27,500                             |
| 9                          | 齊合環保集團有限公司<br>CHIHO ENVIRONMENTAL GROUP LIMITED   | 1  | \$15,000                             |
| 10                         | 中國藝術金融控股有限公司<br>CHINA ART FINANCIAL HOLDINGS LIMITED  | 1  | \$40,000                             |
| 11                         | 中國來騎哦新能源集團有限公司<br>CHINA COME RIDE NEW ENERGY GROUP LIMITED  | 4  | \$36,000                             |
| 12                         | 中國農業生態有限公司<br>CHINA ECO-FARMING LIMITED   | 4  | \$40,800                             |
| 13                         | 中國黃金國際資源有限公司<br>CHINA GOLD INTERNATIONAL RESOURCES CORP. LTD.   | 2  | \$19,300                             |

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| 14                         | 中國蒙牛乳業有限公司<br>CHINA MENGNIU DAIRY COMPANY LIMITED                     | 2  | \$18,000                             |
| 15                         | CHINA METAL RESOURCES UTILIZATION LIMITED                             | 1  | \$14,400                             |
| 16                         | 中國口腔產業集團控股有限公司<br>CHINA ORAL INDUSTRY GROUP HOLDINGS LIMITED          | 1  | \$17,200                             |
| 17                         | 中國資源交通集團有限公司<br>CHINA RESOURCES AND TRANSPORTATION GROUP LIMITED      | 4  | \$34,000                             |
| 18                         | CHINA RONGZHONG FINANCIAL HOLDINGS COMPANY LIMITED                    | 1  | \$22,300                             |
| 19                         | 中華銀科技控股有限公司<br>CHINA SILVER TECHNOLOGY HOLDINGS LIMITED               | 2  | \$30,000                             |
| 20                         | 中國恒有源發展集團有限公司<br>CHYY DEVELOPMENT GROUP LIMITED                       | 1  | \$18,900                             |
| 21                         | 清晰醫療集團控股有限公司<br>CLARITY MEDICAL GROUP HOLDING LIMITED                 | 1  | \$12,000                             |
| 22                         | 卡姆丹克太陽能系統集團有限公司<br>COMTEC SOLAR SYSTEMS GROUP LIMITED                 | 1, 2   | \$40,000                             |
| 23                         | 易緯集團控股有限公司<br>CROSSTEC GROUP HOLDINGS LIMITED                         | 1  | \$42,000                             |
| 24                         | 中信建投證券股份有限公司<br>CSC FINANCIAL CO., LTD.                               | 1  | \$35,000                             |
| 25                         | 大唐國際發電股份有限公司<br>DATANG INTERNATIONAL POWER GENERATION COMPANY LIMITED | 1  | \$35,800                             |
| 26                         | 龍皇集團控股有限公司<br>DRAGON KING GROUP HOLDINGS LIMITED                      | 1  | \$30,000                             |

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| 27                         | 大唐西市絲路投資控股有限公司<br>DTXS SILK ROAD INVESTMENT HOLDINGS COMPANY LIMITED | 1  | \$73,000                             |
| 28                         | ECOGREEN INTERNATIONAL GROUP LIMITED                                 | 1  | \$78,000                             |
| 29                         | 易通訊集團有限公司<br>ETS GROUP LIMITED                                       | 1  | \$30,000                             |
| 30                         | 財華社集團有限公司<br>FINET GROUP LIMITED                                     | 1  | \$13,000                             |
| 31                         | 方正控股有限公司<br>FOUNDER HOLDINGS LIMITED                                 | 2  | \$17,800                             |
| 32                         | 豐盛生活服務有限公司<br>FSE LIFESTYLE SERVICES LIMITED                         | 2  | \$20,000                             |
| 33                         | GBA集團有限公司<br>GBA HOLDINGS LIMITED                                    | 1  | \$13,600                             |
| 34                         | 結好控股有限公司<br>GET NICE HOLDINGS LIMITED                                | 1  | \$30,000                             |
| 35                         | 恩典生命科技控股有限公司<br>GRACE LIFE-TECH HOLDINGS LIMITED                     | 1  | \$15,800                             |
| 36                         | 和泓服務集團有限公司<br>HEVOL SERVICES GROUP CO. LIMITED                       | 2  | \$62,300                             |
| 37                         | 鴻興印刷集團有限公司<br>HUNG HING PRINTING GROUP LIMITED                       | 3  | \$8,000                              |
| 38                         | 博華太平洋國際控股有限公司<br>IMPERIAL PACIFIC INTERNATIONAL HOLDINGS LIMITED     | 1, 4   | \$150,100                            |
| 39                         | INTERNATIONAL BUSINESS SETTLEMENT HOLDINGS LIMITED                   | 2  | \$35,000                             |

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| 一月至九月 January to September |   | <a href="#">(十月記錄/Record of October)</a>     |                                      |
| 40                         | 佳兆業資本投資集團有限公司<br>KAISA CAPITAL INVESTMENT HOLDINGS LIMITED    | 1  | \$22,700                             |
| 41                         | L'OCCITANE INTERNATIONAL S.A.                                 | 1  | \$15,000                             |
| 42                         | LE SAUNDA HOLDINGS LIMITED                                    | 2  | \$45,000                             |
| 43                         | LET GROUP HOLDINGS LIMITED                                    | 1  | \$47,500                             |
| 44                         | LINK HOLDINGS LIMITED   | 1  | \$80,500                             |
| 45                         | 陸慶娛樂集團控股有限公司<br>LUK HING ENTERTAINMENT GROUP HOLDINGS LIMITED | 1  | \$35,000                             |
| 46                         | MANY IDEA CLOUD HOLDINGS LIMITED                              | 2  | \$30,000                             |
| 47                         | MECOM POWER AND CONSTRUCTION LIMITED                          | 2  | \$45,700                             |
| 48                         | 納尼亞(香港)集團有限公司<br>NARNIA (HONG KONG) GROUP COMPANY LIMITED     | 1  | \$40,600                             |
| 49                         | 東方支付集團控股有限公司<br>ORIENTAL PAYMENT GROUP HOLDINGS LIMITED       | 1  | \$34,400                             |
| 50                         | PACIFIC LEGEND GROUP LIMITED                                  | 1  | \$30,000                             |
| 51                         | 寶發控股有限公司<br>POLYFAIR HOLDINGS LIMITED                         | 1  | \$14,200                             |
| 52                         | 懶豬科技集團有限公司<br>PRIME INTELLIGENCE SOLUTIONS GROUP LIMITED      | 1  | \$13,300                             |

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| 53                         | 普星能量有限公司<br>PUXING ENERGY LIMITED  | 1, 4   | \$141,600                            |
| 54                         | 德斯控股有限公司<br>RMH HOLDINGS LIMITED   | 1  | \$23,800                             |
| 55                         | 國藥控股股份有限公司 CARRYING ON BUSINESS IN H.K. AS<br>國控股份有限公司SINOPHARM GROUP CO. LTD. | 1  | \$26,000                             |
| 56                         | SOUTHGOBI RESOURCES LTD.   | 1  | \$13,900                             |
| 57                         | SUGA INTERNATIONAL HOLDINGS LIMITED  | 1  | \$17,400                             |
| 58                         | 舜宇光學科技(集團)有限公司<br>SUNNY OPTICAL TECHNOLOGY (GROUP) COMPANY LIMITED             | 1  | \$37,400                             |
| 59                         | 新威工程集團有限公司<br>SUNRAY ENGINEERING GROUP LIMITED                                 | 1  | \$26,000                             |
| 60                         | 泰錦控股有限公司<br>TAI KAM HOLDINGS LIMITED   | 1  | \$17,300                             |
| 61                         | 嚙•高美集團有限公司<br>TASTE•GOURMET GROUP LIMITED                                      | 1  | \$25,000                             |
| 62                         | 天臣控股有限公司<br>TESSON HOLDINGS LIMITED  | 2  | \$19,000                             |
| 63                         | 華和控股集團有限公司<br>WAH WO HOLDINGS GROUP LIMITED                                    | 2  | \$39,500                             |
| 64                         | WAI CHUN GROUP HOLDINGS LIMITED  | 1  | \$68,000                             |
| 65                         | 偉鴻集團控股有限公司<br>WAI HUNG GROUP HOLDINGS LIMITED                                  | 4  | \$36,800                             |

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| 66                         | 文業集團控股有限公司<br>WENYE GROUP HOLDINGS LIMITED           | 1  | \$33,300                             |
| 67                         | WORLDGATE GLOBAL LOGISTICS LTD                       | 1  | \$12,000                             |
| 68                         | 藥明合聯生物技術有限公司<br>WUXI XDC CAYMAN INC.                 | 1  | \$22,500                             |
| 69                         | 渝太地產集團有限公司<br>Y. T. REALTY GROUP LIMITED             | 1  | \$45,200                             |
| 70                         | 百勝中國控股有限公司<br>YUM CHINA HOLDINGS, INC.               | 1  | \$12,000                             |
| 71                         | 禹洲集團控股有限公司<br>YUZHOU GROUP HOLDINGS COMPANY LIMITED  | 1, 2   | \$34,900                             |
| 72                         | 兆邦基生活控股有限公司<br>ZHAOBANGJI LIFESTYLE HOLDINGS LIMITED | 1  | \$30,000                             |
| 73                         | 中國航空科技工業股份有限公司                                       | 1  | \$117,100                            |
| 74                         | 雲南水務投資股份有限公司   | 2  | \$12,000                             |

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**附註 (Note)**

|    |   |
|----|---|
| 1  | 未有或逾期遞交註冊非香港公司更改公司秘書、董事或獲授權代表的資料更改申報表(《公司條例》第791(2)(b)或791(2)(c)條)<br>Failure in or Late Filing of Return of Changes of Company Secretary, Directors or Authorized Representatives of Registered Non-Hong Kong Company under sections 791(2)(b) or 791(2)(c) of the Companies Ordinance   |
| 2  | 未有或逾期遞交就註冊非香港公司的註冊詳情更改而須遞交的申報表(《公司條例》第791條, 不包括791(2)(b)或791(2)(c)條)<br>Failure in or Late Filing of Return required for changes in the Registered Particulars of Registered Non-Hong Kong Companies under section 791 except sections 791(2)(b) or 791(2)(c) of the Companies Ordinance  |
| 3  | 未有或逾期遞交更改公司秘書及董事資料通知書(《公司條例》第645或652條)<br>Failure in or Late Filing of Notice of Changes of Company Secretary and Directors under section 645 or 652 of the Companies Ordinance   |
| 4  | 未有或逾期遞交公司的周年申報表及有關的公司帳目(《公司條例》第662或788/789條)<br>Failure in or Late Filing of Annual Returns and Accounts under section 662 or 788/789 of the Companies Ordinance  |
| 5  | 未有或逾期遞交股份配發申報書(《公司條例》第142(1)條)<br>Failure in or Late Filing of Return of Allotment under section 142(1) of the Companies Ordinance  |
| 6  | 未有或逾期遞交公司章程細則修改通知書及經修改後章程細則的核證文本(《公司條例》第88條)<br>Failure in or Late Filing of Notice of Alteration of Company's Articles and a certified copy of the Articles as altered under Sections 88 of the Companies Ordinance  |
| 7  | 未有或逾期遞交決議(《公司條例》第622條)<br>Failure in or Late Filing of Resolution under Section 622 of the Companies Ordinance  |
| 8  | 未有或逾期遞交承接人行使財產管有權或不再管有財產通知書(《公司條例》第349(1)或350(3)條)<br>Failure in or Late Filing of Notice of Mortgagee Entering into or Going out of Possession of Property under sections 349(1) or 350(3) of the Companies Ordinance  |
| 9  | 未有或逾期遞交贖回或回購股份申報表(《公司條例》第270(1)條)<br>Failure in or Late Filing of Return of Share Redemption or Buy-back under section 270(1) of the Companies Ordinance  |
| 10 | 未有或逾期遞交註冊非香港公司更改公司秘書、董事或獲授權代表的資料更改通知書(《前身條例》第335(1)(b)或335(1)(c)條)<br>Failure in or Late Filing of Notification of Changes of Secretary, Directors or Authorized Representatives of Registered Non-Hong Kong Companies under sections 335(1)(b) or 335(1)(c) of the predecessor Ordinance   |
| 11 | 未有或逾期遞交就註冊非香港公司的註冊詳情更改而須遞交的通知書(《前身條例》第335條, 不包括第335(1)(b)及335(1)(c)條)<br>Failure in or Late Filing of Notification required for changes in the Registered Particulars of Registered Non-Hong Kong Companies under section 335 except sections 335(1)(b) and 335(1)(c) of the predecessor Ordinance  |
| 12 | 未有或逾期遞交註冊非香港公司變更法團名稱申報表(《公司條例》第778條)<br>Failure in or Late Filing of Return of Alteration of Corporate Name of Registered Non-Hong Kong Company under section 778 of the Companies Ordinance<br>[以上提述的《前身條例》是指《公司條例》(第622章)生效日期前不時有效的《公司條例》(第32章)<br>Reference to the predecessor Ordinance above means the Companies Ordinance (Cap. 32) as in force from time to time before the commencement date of the Companies Ordinance (Cap. 622)] |
| *  | a) 罰款已包括因持續失責所處的按日計算失責罰款<br>The fine includes a daily default fine for continued default<br>b) 罰款祇涉及被定罪的上市公司, 並不涉及其附屬公司<br>The fine relates only to the listed company convicted of the offence and does not relate to its subsidiaries   |