

# **An Overview of Phase 2 of the New Inspection Regime**

## **Major Changes in Public Search Services**

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# Major Changes in Public Search Services

## Searches on the Companies Register

- Highlights of Key Provisions
- Searches on registered documents
- Searches on Index of Directors

## Access to Protected Information

- Highlights of Key Provisions
- Applications for Disclosure of Protected Information

# Searches on the Companies Register

## Highlights of Key Provisions

- Materials in Companies Register unavailable for public inspection are provided in **Division 7 of Part 2** of the Companies Ordinance (Cap. 622).
- Protection of residential address and identification numbers (“Protected Information”) contained in certain documents are provided in **Subdivision 2 of Division 7**.
- The Subdivision 2, except section 54(1)(a)(ii), will come into operation on **24 October 2022**.
- The usual residential addresses of directors will be replaced with **correspondence address** and full identification numbers of directors, company secretaries and some other individuals (such as liquidators and provisional liquidators) will be replaced with **partial identification numbers** for public inspection.

# Searches on the Companies Register

## Searches on registered documents

- Protected Information contained in documents filed for registration on or after 24 October 2022 will **NOT** be provided for public inspection

表格 **NNC1**  
Form

18 首任董事 First Directors

A. 董事(自然人) Director (Natural Person)  
(如超過一名董事屬自然人, 請填續表 C 續報 Use Continuation Sheet C if more than 1 director is a natural person)

22 中文姓名 Name in Chinese

英文姓名 Name in English

姓氏 Surname

名字 Other Names

前用姓名 Previous Names

別名 Alias

通訊地址 Correspondence Address

董事董事 董事董事 董事董事  
Provide director's usual residential address in PI-NNC1 sheet

國家/地區 Country / Region

(本處不接納郵政信箱號碼 Post office box numbers are not acceptable)

24 電郵地址 Email Address

25 身分識別 Identification

(a) 香港身分證部分號碼 Partial Number of Hong Kong Identity Card

(b) 護照 Passport

簽發國家/地區 Issuing Country / Region

部分號碼 Partial Number

提示 Advisory Note

所有公司董事均應閱讀公司註冊處編製的《董事責任指引》, 並熟悉該指引所概述的董事一般責任。  
All directors of the company are advised to read 'A Guide on Directors' Duties' published by the Companies Registry and acquaint themselves with the general duties of directors outlined in the Guide.

26 出任董事職位同意書 Consent to Act as Director

請在適用方格內加上 ✓ 號 Please tick the relevant box

☐ 本人同意在公司成立為法團時擔任其董事, 並確認本人已年滿 18 歲。  
I consent to be a director of the company on its incorporation and confirm that I have attained the age of 18 years.

簽署 Signed :

☐ 出任董事職位同意書會於公司成立為法團的日期後 15 日內交付登記。  
The Consent to Act as Director will be delivered for registration not later than 15 days after the date of incorporation of the company.

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說明編號 1/2022 (2022 年 10 月) Specification No. 1/2022 (October 2022)

**PI-NNC1**  
建議採用的公司英文或中文名稱 Proposed English OR Chinese Company Name

首任公司秘書/董事(自然人) - 受保護資料  
First Company Secretary/Director (Natural Person) - Protected Information

22 公眾紀錄不會顯示此頁  
This page will not be shown on the public record

請於本頁申報首任公司秘書的香港身分證或護照的完整號碼。  
The full number of Hong Kong Identity Card or passport of the first company secretary should be reported on this page.

請於本頁申報首任董事的香港身分證或護照的完整號碼及通常住址。  
The full number of Hong Kong Identity Card or passport and usual residential address of the first director should be reported on this page.

(每一頁 PI-NNC1 只可填報一名自然人的受保護資料。如超過一名自然人資料, 請另加 PI-NNC1 頁填報。  
Each PI-NNC1 sheet can only report the protected information of 1 natural person. If there is information for more than 1 natural person, please report separately on additional PI-NNC1 sheet(s).)

請在適用方格內加上 ✓ 號 Please tick the relevant box(es)

身分 Capacity

☐ 公司秘書 Company Secretary ☐ 董事 Director

22 中文姓名 Name in Chinese

英文姓名 Name in English

姓氏 Surname

名字 Other Names

30 身分識別 Identification

(a) 香港身分證(完整號碼) Hong Kong Identity Card (Full Number)

(b) 護照 Passport

簽發國家/地區 Issuing Country / Region

完整號碼 Full Number

31 董事的通常住址 Usual Residential Address of Director

國家/地區 Country / Region

請勿覆蓋此頁內的條碼  
DO NOT COVER THE BARCODES ON THIS PAGE

(交付表格 NNC1 時, 請將所有 PI-NNC1 頁置於最後)  
Please place all PI-NNC1 sheets at the end when delivering the Form NNC1

說明編號 1/2022 (2022 年 10 月) Specification No. 1/2022 (October 2022)

The main form **does NOT** contain Protected Information and is for public inspection

The **PI sheet** containing Protected Information is **NOT** for public inspection

# Searches on the Companies Register

## Searches on Index of Directors Company-based Directors Index Search

網上查冊中心 ICRIS Cyber Search Centre

Search Product Shopping About e-Search Logout

Welcome! System Clock: 20-MAY-2022 10:12:45 GMT +0800

Directors Index Search (Company-based)

Your Search: CR No.: = 1734925

CR No.: 1734925  
Company Name: Eighteenth of June System Check Limited  
六月十八號系統檢查有限公司

Director

No.	Name in English	Name in Chinese	HKID No./ CR No.	Passport No. / China ID No.	Passport Issuing Country/Region	Director Type	Director Particulars	All Directorships
1	LEE, PAK	李伯	K334***(*)	K7706***	中國 CHINA	Natural Person		
2	PAK, WING YEE	柏詠兒	D443***(*)	D1485***	中國 CHINA	Natural Person		
3	TIN, PO SHAN	田保新	-	7755****	澳門 MACAU	Natural Person		
4	TSANG, CHI SHING	曾志城	G388***(*)	-	-	Natural Person		

Displaying: Record 1 to 4 of 4 records.  
Jump to page  of 1

Please select the Order Type

Order certified report HK\$141.00 plus additional handling charges for different delivery mode(\*)

Note 1: (\*) Additional handling charges for different delivery mode will be shown when check out shopping cart.  
Note 2: "China ID No." means "People's Republic of China Resident Identity Card Number".

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ICRIS CSC Companies Registry, The Government of the Hong Kong Special Administrative Region.

Full identification number replaced by partial identification number

# Searches on the Companies Register

## Searches on Index of Directors Director Particulars Search

網上查冊中心 ICRIS Cyber Search Centre

Search Product Shopping About e-Search Logout

Welcome! System Clock: 20-MAY-2022 10:14:01 GMT +0800

Director Particulars Search

Your Search: CR No.: 0003653, Director Type = Natural Person  
English Name: chan(Surname), cho chak john(Other Names)

Director Particulars - Natural Person Director

CR No.:	1734920
Company Name:	System Check Limited 系統檢查有限公司
Surname (English):	CHAN
Other Names (English):	PAK
Chinese Name:	陳 伯
Previous Name:	-
Alias:	-
Correspondence Address:	15/F., 8 DES VOEUX ROAD CENTRAL, HONG KONG
HKID No.:	A190***(*)
Passport No. / China ID No.:	-
Passport Issuing Country/Region:	-
Date of Appointment:	08-AUG-1995
Remarks:	-

Residential address replaced with correspondence address

Full identification number replaced with partial identification number

Go to perform All Directorships Search

Please select the Order Type

Order certified report (Director Particulars)	HK\$141.00 plus additional handling charges for different delivery mode(*)
-----------------------------------------------	----------------------------------------------------------------------------

Note 1: (\*) Additional handling charges for different delivery mode will be shown when check out shopping cart.  
Note 2: "China ID No." means "People's Republic of China Resident Identity Card Number".

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ICRIS CSC Companies Registry, The Government of the Hong Kong Special Administrative Region.

# Searches on the Companies Register

## Searches on Index of Directors

- Director-based Directors Index Search

- For groups with **same director name and same partial ID numbers or passport numbers but different full HKID numbers or passport numbers, one extra digit will be displayed for the partial number** of the related groups for easy differentiation of the directors. If release of any one extra digit cannot help differentiate the records, two extra digits will be displayed at any positions.
- Groups of records of directors having the **same full HKID number or passport number will be assigned with the same group number** in the search results to differentiate from other groups with same partial number but different full numbers

# Searches on the Companies Register




## Director-based Directors Index Search


### Scenario (1)

Identify persons with same names and same partial HKID numbers (i.e. alphabet(s) plus the first 3 digits)

#### Display of Search Result

For example : Searcher inputs partial HKID number **A123** ,  
System will group the matched records according to different criteria for searchers to identify records of different individuals more easily.

	Group Number (Groups with SAME FULL Identification Number are assigned with the SAME GROUP Number)	Name in English	Name in Chinese	Partial HKID Number (Alphabet(s) and First 3 Digits)	Partial Passport Number / China ID Number	Passport Issuing Country / Region	No. of Records
	1	CHAN TAI MAN	陳大文	A123*8*	-	-	2
	2	CHAN TAI MAN	陳大文	A123*4*	-	-	3
	3	HO SIU MING	何小明	A123***	-	-	2

- The search result shows **3 different Group Numbers**, indicating that **3 different individuals** holding **3 different full HKID numbers** are involved.
- The persons shown in **Group Number 1** and **Group Number 2** are **2 different individuals** with **identical name** but holding **2 different full HKID numbers**.
- The person shown in **Group Number 3** is obviously different from the persons in **Group Numbers 1 and 2**.
- When a searcher clicks on the  button in the **first column**, the system will display **a list of relevant companies** of which the person is a current director and the number of companies involved is reflected in the last column of the corresponding row.
- The person shown in **Group Number 1** holds directorship in **2 companies**. The person shown in **Group Number 2** holds directorship in **3 companies**. The person shown in **Group Number 3** holds directorship in **2 companies**.





# Searches on the Companies Register


## Director-based Directors Index Search

**Scenario (2)** Identify persons with different name formats but same partial HKID numbers (i.e. alphabet(s) plus the first 3 digits)

### Display of Search Result

For example : Searcher inputs partial HKID number **A123** ,  
System will group the matched records according to different criteria for searchers to identify records of different individuals more easily.

	Group Number (Groups with SAME FULL Identification Number are assigned with the SAME GROUP Number)	Name in English	Name in Chinese	Partial HKID Number (Alphabet(s) and First 3 Digits)	Partial Passport Number / China ID Number	Passport Issuing Country / Region	No. of Records
	1	CHAN TAI MAN	陳大文	A123*8*	-	-	2
	1	CHAN TAI MAN JOHN	陳大文	A123*8*	-	-	1
	2	CHAN TAI MAN	陳大文	A123*4*	-	-	4

- The search result shows **2 different Group Numbers**, indicating that **2 different individuals** holding **2 different full HKID numbers** are involved.
- Although **2** different name formats are shown for records with **Group Number 1**, they are in fact referring to the **same individual** with same full HKID number and have therefore been assigned with the **same Group Number**.
- The person shown in **Group Number 2** is holding a **different full HKID number** from the person in **Group Number 1** and they are **two different individuals**.
- When a searcher clicks on the  button in the **first column**, the system will display **a list of relevant companies** of which the person is a current director and the number of companies involved is reflected in the last column of the corresponding row.
- The person shown in **Group Number 1** holds directorship in **3 companies**. The person shown in **Group Number 2** holds directorship in **4 companies**.

# Access to Protected Information

## Highlights of Key Provisions (1)

- Pursuant to section 58(3) of the Companies Ordinance, the Registrar of Companies (the “Registrar”) may, on application, disclose Protected Information to a person specified by regulations made by the Financial Secretary.
- The Financial Secretary has made the Companies (Residential Addresses and Identification Numbers) Regulation (Cap. 622N) (the “Regulation”).
- Parts 1, 4 and 5 (except section 13(1)) and the Schedule to the Regulation will come into operation on **24 October 2022**.
- The Regulation was published in the Gazette Notice (L.N. 98 of 2021) on 18 June 2021.

# Access to Protected Information

## Highlights of Key Provisions (2)

- Persons specified by section 12(1) in Part 4 of the Regulation can apply for:
  - the latest Protected Information of a data subject in a company; or
  - the Protected Information of a data subject reported in a registered document
- Persons specified by section 12(1) of the Regulation (other than the data subject, person authorized by a data subject and member of the company) may have the need to obtain the Protected Information in relation to statutory procedures, law enforcement, and customer due diligence of financial and business transactions (e.g. for Anti-Money Laundering and Counter-Financing of Terrorism purposes). Such persons are required by section 12 of the Regulation to make **a statement to confirm that the Protected Information is required for the performance of their functions and would only be used for the performance of their functions.**
- Section 10 of the Regulation empowers the Registrar of Companies to specify any documents for the application for disclosure of Protected Information

# Application for Disclosure of Protected Information

## Who can apply? (1)

Specified persons are:

- (a) a data subject
- (b) a person who is authorized in writing by a data subject to obtain the information
- (c) a member of the company
- (d) a liquidator
- (e) a trustee in bankruptcy
- (f) a public officer or public body
- (g) a scheduled person
- (h) a solicitor or foreign lawyer
- (i) a certified public accountant (practising)
- (j) a financial institution or DNFBP

# Application for Disclosure of Protected Information

## Who can apply? (2)

- a **data subject**
- a person who is **authorized in writing by a data subject** to obtain the information  
*[only has the right to access Protected Information of the data subject]*
- a **member** of the company  
*[only has the right to access Protected Information of the company]*

# Application for Disclosure of Protected Information

## Who can apply? (3)

- A **liquidator** means a person who is a provisional liquidator or liquidator within the meaning of the Companies (Winding Up and Miscellaneous Provisions) Ordinance (Cap. 32)
- A **trustee in bankruptcy** means a person who is, under the Bankruptcy Ordinance (Cap. 6)-
  - (a) a provisional trustee or trustee of the property of a bankrupt; or
  - (b) an interim trustee of the property of a debtor or of any part of the property.
- A **public body** includes-
  - (a) any public authority or undertaking; and
  - (b) any body that has power to act in a public capacity under, or for the purposes of, any enactment.

# Application for Disclosure of Protected Information

## Who can apply? (4)

A **scheduled person** means a person specified in the Schedule to the Regulation. The persons specified in the Schedule are:

- (a) an **inspector** as defined by section 838(1) of the Companies Ordinance
- (b) an **inspector** appointed under section 95(1) of the Trustee Ordinance (Cap. 29)
- (c) a **recognized clearing house** as defined by section 1 of Part 1 of Schedule 1 to the Securities and Futures Ordinance (Cap. 571)
- (d) a **recognized exchange company** as defined by section 1 of Part 1 of Schedule 1 to the Securities and Futures Ordinance
- (e) a **recognized exchange controller** as defined by section 1 of Part 1 of Schedule 1 to the Securities and Futures Ordinance
- (f) a **recognized investor compensation company** as defined by section 1 of Part 1 of Schedule 1 to the Securities and Futures Ordinance
- (g) a **person directed or appointed to investigate** any matter under section 11(1) of the Anti-Money Laundering and Counter-Terrorist Financing Ordinance (Cap. 615)

# Application for Disclosure of Protected Information

## Who can apply? (5)

- A **solicitor** means a solicitor as defined by section 2(1) of the Legal Practitioners Ordinance (Cap. 159) who practises law in a Hong Kong firm which has the meaning given by section 2(1) of the Legal Practitioners Ordinance.
- A **foreign lawyer** means a foreign lawyer as defined by section 2(1) of the Legal Practitioners Ordinance who practises foreign law in-
  - (a) a Hong Kong firm which has the meaning given by section 2(1) of the Legal Practitioners Ordinance; or
  - (b) a foreign firm as defined by section 2(1) of the Legal Practitioners Ordinance.
- A **certified public accountant (practising)** has the meaning given by section 2(1) of the Professional Accountants Ordinance (Cap. 50).



# Application for Disclosure of Protected Information

## Who can apply? (6)

A **financial institution** has the meaning given by section 1 of Part 2 of Schedule 1 to the Anti-Money Laundering and Counter-Terrorist Financing Ordinance and includes the following persons:

- (a) an **authorized institution** which has the meaning given by section 2(1) of the Banking Ordinance (Cap. 155)
- (b) a **licensed corporation** which has the meaning given by section 1 of Part 1 of Schedule 1 to the Securities and Futures Ordinance
- (c) an **authorized insurer** which means an insurer authorized under the Insurance Ordinance (Cap. 41)
- (d) a **licensed individual insurance agent** which has the meaning given by section 2(1) of the Insurance Ordinance
- (e) a **licensed insurance agency** which has the meaning given by section 2(1) of the Insurance Ordinance
- (f) a **licensed insurance broker company** which has the meaning given by section 2(1) of the Insurance Ordinance
- (g) a **licensed money service operator** which means the holder of a licence as defined by section 24 of the Anti-Money Laundering and Counter-Terrorist Financing Ordinance
- (h) the **Postmaster General** which means the Postmaster General of Hong Kong, and includes the deputy postmaster general and every assistant postmaster general
- (i) an **SVF licensee** which means a person who is granted a licence under section 8F of the Payment Systems and Stored Value Facilities Ordinance (Cap. 584)

# Application for Disclosure of Protected Information

## Who can apply? (7)

**DNFBP** has the meaning given by section 1 of Part 2 of Schedule 1 to the Anti-Money Laundering and Counter-Terrorist Financing Ordinance. DNFBP means the following persons:

- (a) an **accounting professional** which means –
  - (i) a certified public accountant or a certified public accountant (practising), as defined by section 2(1) of the Professional Accountants Ordinance
  - (ii) a corporate practice as defined by section 2(1) of the Professional Accountants Ordinance; or
  - (iii) a firm of certified public accountants (practising) registered under Part IV of the Professional Accountants Ordinance
- (b) an **estate agent** which means –
  - (i) a licensed estate agent as defined by section 2(1) of the Estate Agents Ordinance (Cap. 511); or
  - (ii) a licensed salesperson as defined by section 2(1) of the Estate Agents Ordinance
- (c) a **legal professional** which means –
  - (i) a solicitor as defined by section 2(1) of the Legal Practitioners Ordinance; or
  - (ii) a foreign lawyer as defined by section 2(1) of the Legal Practitioners Ordinance
- (d) a **TCSP licensee** which means –
  - (i) a person who holds a licence granted under section 53G of the Anti-Money Laundering and Counter-Terrorist Financing Ordinance or renewed under section 53K; or
  - (ii) a deemed licensee as defined by section 53ZQ(5)

# Application for Disclosure of Protected Information

## How to apply? (1)

Specified persons can apply for disclosure of Protected Information through the following means:

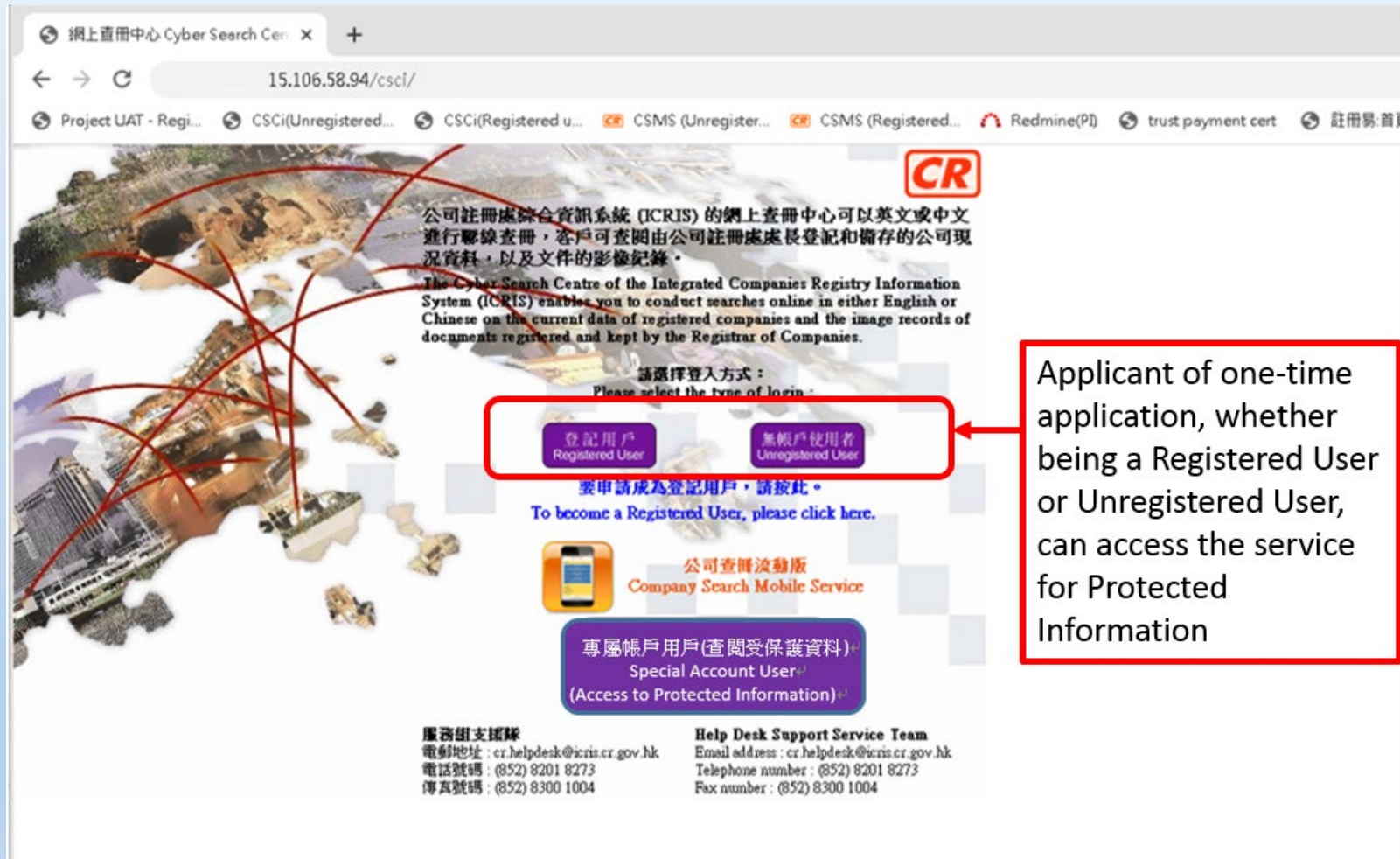
(a) **One-time application** (online or in paper)

➤ **Online**

- By a **data subject**, a **person who is authorized in writing by a data subject** to obtain the information, or a **member** of the company
- through Cyber Search Centre ([www.icris.cr.gov.hk](http://www.icris.cr.gov.hk)) or Company Search Mobile Service ([www.mobile-cr.gov.hk](http://www.mobile-cr.gov.hk))

# Application for Disclosure of Protected Information

## How to apply? (2)



# Application for Disclosure of Protected Information

## How to apply? (3)

### (a) One-time application (online or in paper)

#### ➤ Paper application

- By all types of specified persons
- Specified forms to be used:
  - **Form PS1** - Application for Disclosure of Protected Information  
(By data subject / person authorized in writing by a data subject to obtain the information / member of the company)
  - **Form PS2** - Application for Disclosure of Protected Information  
(By liquidator / trustee in bankruptcy / public officer or public body / scheduled person)
  - **Form PS3** - Application for Disclosure of Protected Information  
(By solicitor or foreign lawyer / certified public accountant (practising) / financial institution or DNFBP)

# Application for Disclosure of Protected Information

## How to apply? (4)

### (b) Through a Special Account

- Online only
- Only the following **designated types of specified persons being a natural person** can apply for disclosure of Protected Information through a **Special Account**:
  - a liquidator
  - a trustee in bankruptcy
  - a public officer or public body
  - a scheduled person
  - a solicitor or foreign lawyer
  - a certified public accountant (practising)
  - a financial institution or DNFBP
- If the designated specified person is **not a natural person**, application can be made by its authorised representative through the **authorised representative's Special Account**



# Application for Disclosure of Protected Information

## How to apply? (5)

The screenshot shows the Cyber Search Centre (CSC) website interface. The browser address bar displays '15.106.58.94/csci/'. The page features a background image of a cityscape. The main content area includes the following text:

公司註冊處綜合資訊系統 (ICRIS) 的網上查冊中心可以英文或中文進行聯線查冊，客戶可查閱由公司註冊處處長登記和備存的公司現況資料，以及文件的影像記錄。

The Cyber Search Centre of the Integrated Companies Registry Information System (ICRIS) enables you to conduct searches online in either English or Chinese on the current data of registered companies and the image records of documents registered and kept by the Registrar of Companies.

請選擇登入方式：  
Please select the type of login :

登記用戶  
Registered User

無帳戶使用者  
Unregistered User

要申請成為登記用戶，請按此。  
To become a Registered User, please click here.

公司查詢流動版  
Company Search Mobile Service

專屬帳戶用戶 (查閱受保護資料)  
Special Account User  
(Access to Protected Information)

服務支援團隊  
Help Desk Support Service Team

電郵地址 : cr.helpdesk@icris.cr.gov.hk  
Email address : cr.helpdesk@icris.cr.gov.hk

電話號碼 : (852) 8201 8273  
Telephone number : (852) 8201 8273

傳真號碼 : (852) 8300 1004  
Fax number : (852) 8300 1004

Annotations: Red lines connect the text to the corresponding buttons. A green box highlights the 'Special Account User' button, with an arrow pointing to it from the text on the right.

Applicant with Special Account can click this icon to access the service for Protected Information

# Application for Disclosure of Protected Information

## How to use a Special Account?

- Special account holder who is a **natural person**
  - can apply for Protected Information online through his/her Special Account if the holder is a designated specified person
  - can apply for Protected Information online through his/her Special Account on behalf of a designated specified person if the holder is an authorised representative of the designated specified person
- Special account holder which is **not a natural person**
  - **cannot** apply for Protected Information through its Special Account
  - **has to apply for another Special Account for its authorised representative to apply for disclosure of Protected Information on its behalf**
  - can only use its Special Account to manage the Special Accounts of its authorised representatives



# Application for Disclosure of Protected Information

## How to apply for a Special Account?

### ➤ Online Application

- Application can be made through
  - the Cyber Search Centre ([www.icris.cr.gov.hk](http://www.icris.cr.gov.hk)) or
  - the Company Search Mobile Service ([www.mobile-cr.gov.hk](http://www.mobile-cr.gov.hk))

### ➤ Paper Application

#### - **Form PS4**

“Application for Opening of Special Account relating to Protected Information by Designated Types of Specified Persons”

- *to apply for opening of a Special Account*

#### - **Form PS5**

“Application for Opening of Special Account for Authorised Representative by a Special Account User other than a Natural Person”

- *after obtaining a Special Account*

# Application for Disclosure of Protected Information

## What supporting documents are required? (1)

Applicable to the

- one-time application for Protected Information
- application for opening of Special Accounts by designated specified persons
- application for opening of Special Account for authorised representative by a Special Account User other than a natural person

# Application for Disclosure of Protected Information

## What supporting documents are required? (2)

### (a) Identification documents

- (i) Applicant is a **natural person**:
  - His/her Hong Kong Identity Card; or
  - His/her passport; or
  - His/her identity card issued by a government authority outside Hong Kong
- (ii) Applicant is **other than a natural person**:
  - For a body corporate: its certificate of incorporation or its equivalent
  - For a partnership or a sole proprietorship: its business registration certificate or its equivalent
  - **Together with** the identification documents of its authorised representative who is a natural person

# Application for Disclosure of Protected Information

## What supporting documents are required? (3)

### (b) Authorisation documents

- (i) If Applicant is a natural person who is authorized by a data subject to make an application on his/her behalf
  - an authorisation letter by the data subject
- (i) If Applicant is other than a natural person applying for opening of Special Account for its natural person authorised representative
  - an authorisation letter by the Applicant to authorise the natural person to apply for Protected Information on its behalf in future
- (i) If Applicant is other than a natural person applying for Protected Information by one-time application
  - an authorisation letter by the Applicant to authorise the natural person representative named in the application to apply for Protected Information on its behalf

# Application for Disclosure of Protected Information

## What supporting documents are required? (4)

### (c) Other supporting documents

- **Evidence of the capacity** of the Applicant as one of the types of specified persons prescribed in section 12(1) of the Regulation

# Application for Disclosure of Protected Information

## What supporting documents are required? (5)

### Mode of Submission of Supporting Documents

- Certified true copy of the supporting documents submitted with the application
- Present the original supporting documents in person for checking at:
  - Public Search Centre
  - Companies Registry
  - 13<sup>th</sup> floor, Queensway Government Offices, 66 Queensway, Hong Kong.

# Application for Disclosure of Protected Information

## What supporting documents are required? (6)

Supporting documents **not submitted in original** should be **certified** as true copy by:

- (a) a notary public practising in Hong Kong;
- (b) a solicitor practising in Hong Kong;
- (c) a certified public accountant (practising) within the meaning of section 2 of the Professional Accountants Ordinance;
- (d) an officer of the court in Hong Kong who is authorised by law to certify documents for any judicial or other legal purpose;
- (e) a professional company secretary practising in Hong Kong;
- (f) a consular officer of Applicant's home country, in case the applicant is a non-Hong Kong resident or entity not registered in Hong Kong; or
- (g) the authority or body which issues the document.

# Application for Disclosure of Protected Information

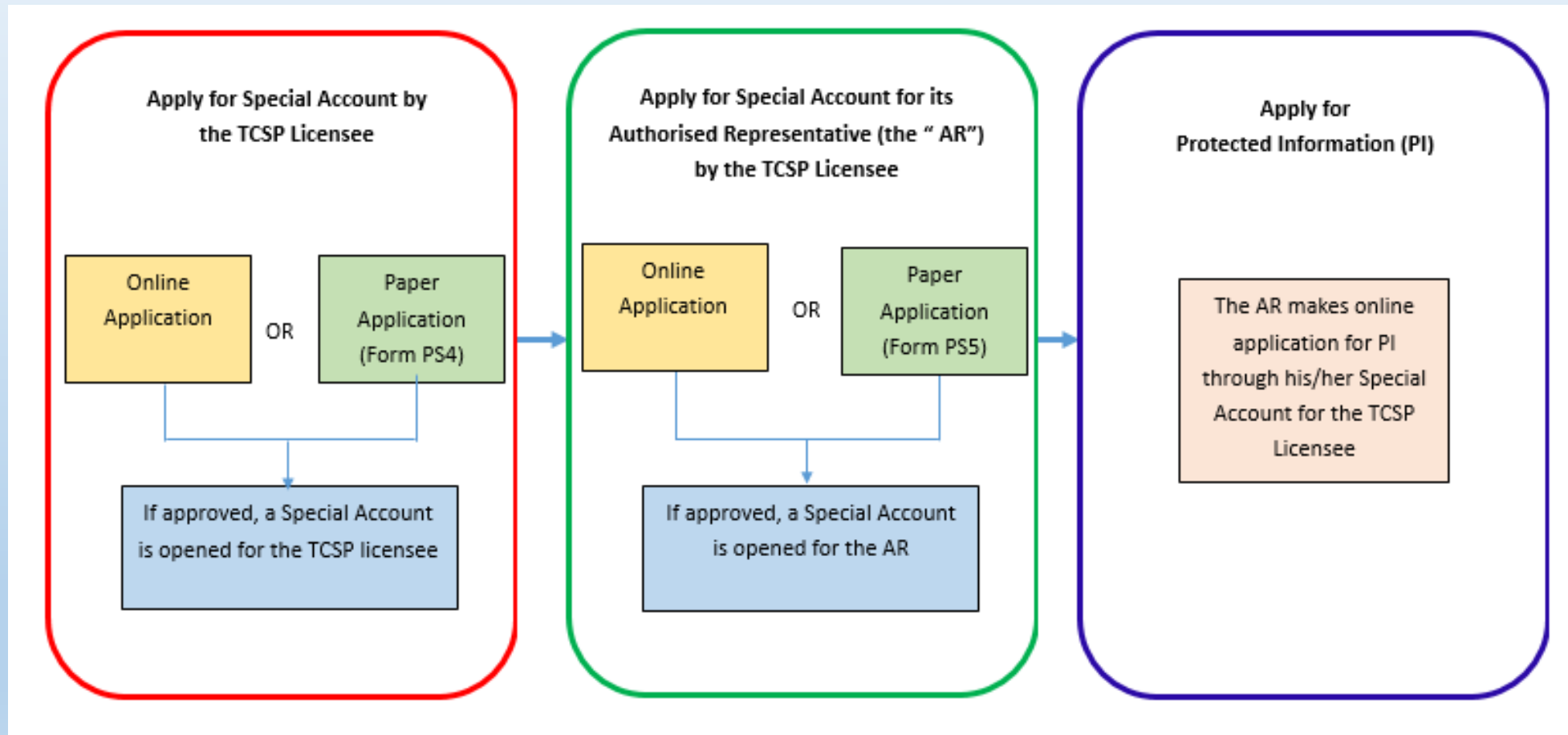
## An example

A **TCSP licensee** which is a **limited company** intends to apply for disclosure of Protected Information **through a Special Account** in the future to perform its functions conferred or imposed on it as a DNFBP under the Anti-Money Laundering and Counter-Terrorist Financing Ordinance



# Application for Disclosure of Protected Information

## An example (Cont'd)



# Application for Disclosure of Protected Information

## How much to pay?

A fee of **\$10** for obtaining Protected Information of **each data subject** is payable by the following types of specified persons:

- a solicitor or foreign lawyer
  - a certified public accountant (practising)
  - a financial institution or DNFBP
- (section 10(c)(iii) of the Regulation)

# Application for Disclosure of Protected Information

## What can be obtained?

- A **Protected Information Report** on the selected data subject
- The Report
  - will be sent to the Applicant by **email** (for one-time application); or
  - provided in a designated area in Cyber Search Centre **for downloading** by the Applicant (for application through Special Account)

Updates and further information

Thematic section on “New Inspection Regime”  
on the Companies Registry’s website  
([www.cr.gov.hk/en/legislation/nir/overview.htm](http://www.cr.gov.hk/en/legislation/nir/overview.htm))

***Thank You!***

