

COMPANIES ORDINANCE
(Chapter 622 of the Laws of Hong Kong)

In exercise of the power conferred on me under sections 23(1) and 914(6)(a) of the Companies Ordinance (Chapter 622) ('new Companies Ordinance') and section 32 of the Interpretation and General Clauses Ordinance (Chapter 1), I hereby specify the forms mentioned in the first column of the Schedule hereto for use with effect from the commencement of the new Companies Ordinance for the purposes of:—

- (a) the section(s) of the new Companies Ordinance mentioned opposite to the respective entries of the forms in the second column of the Schedule hereto; and
- (b) the section(s), if any, of the Companies Ordinance (Chapter 32) ('existing Companies Ordinance') mentioned opposite to the respective entries of the forms in the third column of the Schedule hereto, which will be repealed upon the commencement of the new Companies Ordinance but will have a continuing effect under Schedule 11 to the new Companies Ordinance or by virtue of section 23 of the Interpretation and General Clauses Ordinance (Chapter 1).

The forms specified are hereby published for information.

SCHEDULE

Form		Section Number of the new Companies Ordinance	Section Number of the existing Companies Ordinance
Form Number	Name of Form		
NA1	Notice of Removal of Auditor	419(4)	131(6)
NA2	Notification of Resignation of Auditor	417(3)	140A(3)
NAA1	Notice of Alteration of Company's Articles	88, 96	Nil
NAA2	Notice of Alteration of Company's Objects	89	Nil
NAA3	Notice of Alteration of Certain Articles by Existing Company	90	Nil
NAA4	Notice of Change of Company Status	94(2), 95(2)	Nil

Form		Section Number of the new Companies Ordinance	Section Number of the existing Companies Ordinance
Form Number	Name of Form		
NAC3	Statement of Revision of Financial Statements	449(3)	141E(3)
NAC4	Notice of Alteration of Accounting Reference Date	371(2)	Nil
NAMA1	Approved Amalgamation Proposal	684(1)(a)	Nil
NAMA2	Certificate on Solvency Statement by Directors of Amalgamating Company	684(1)(b)	Nil
NAMA3	Certificate of Approval of Amalgamation by Directors of Amalgamating Company	684(1)(c)	Nil
NAMA4	Notice of Appointment of Directors of Amalgamated Company	684(1)(d)	Nil
NAMA5	Certificate on Claims of Creditors by Directors of Amalgamated Company	684(1)(e)	Nil
NAMA6	Notice of Application to Court to Intervene in Amalgamation Proposal	686(4)	Nil
NAR1	Annual Return	662	107(1), 107(5), 107(6)
ND2A	Notice of Change of Company Secretary and Director (Appointment/Cessation)	645(1), 645(4), 652(1), 652(2)	158(4), 158(4AA), 158(9A)
ND2B	Notice of Change in Particulars of Company Secretary and Director	645(4), 652(2)	158(4)
ND4	Notice of Resignation of Company Secretary and Director	464(3), 477(3)	157D(2) Proviso
ND5	Notice of Change of Reserve Director (Nomination/Cessation)	645(2), 645(3), 645(4)	158(4), 158(4A), 158(5A)
ND7	Notice of Change in Particulars of Reserve Director	645(4)	158(4)
ND8	Notice of Resignation of Reserve Director	464(3), 464(6)	157D(2) Proviso
NDB1	Return of Allotment of Debentures or Debenture Stock	316(1)	Nil
NDR1	Application for Deregistration of Private Company or Company Limited by Guarantee	750	291AA

Form		Section Number of the new Companies Ordinance	Section Number of the existing Companies Ordinance
Form Number	Name of Form		
NIN1	Notice of Appointment of Inspector	842(1)	151
NIN2	Notice of Delivery of Final Report by Inspector	856(4)	151
NIN3	Notice of Delivery of Interim Report by Inspector	855(4)	Nil
NM1	Statement of Particulars of Charge	335(1), 336(1), 338(2), 339(3), 340(2), 342(2)	80(1), 81(1), 82(1), 91(1), 91(5)
NM2	Notification of Payment / Satisfaction of Debt, Release from Charge, etc.	345	85
NM3	Notice of Mortgagee Entering into Possession of Property	349(1)	87(2)
NM4	Notice of Mortgagee Going out of Possession of Property	350(3)	87(4)(b)
NM5	Notice of Appointment of Receiver or Manager	348(1)	87(1)
NM6	Notice of Cessation of Appointment of Receiver or Manager	350(2)	87(4)(a)
NM7	Notice of Change in Particulars of Receiver, Manager or Mortgagee in Possession of Property	350(4)	87(5)
NM8	Statement of Particulars of Charge (For Debenture Forming Part of a Series)	335(2), 336(2), 340(3)	80(7)
NM9	Statement of Particulars of Issue of Debentures of a Series	341(2)	80(7) Proviso
NMEM1	Notice of Increase in Number of Members of Company Limited by Guarantee	114(1)	10(3)
NN1	Application for Registration as Registered Non-Hong Kong Company	776(4)	333
NN2	Notification of Termination of Authorization of Authorized Representative of Registered Non-Hong Kong Company	787	333B
NN3	Annual Return of Registered Non-Hong Kong Company	788	334(1) – (5)

Form		Section Number of the new Companies Ordinance	Section Number of the existing Companies Ordinance
Form Number	Name of Form		
NN5	Return of Change in the Charter, Statutes or Memorandum etc. of Registered Non-Hong Kong Company	791(1), 791(2)(a)	335(1)(a)
NN6	Return of Change of Company Secretary and Director of Registered Non-Hong Kong Company (Appointment/Cessation)	791(1), 791(2)(b)	335(1)(b)
NN7	Return of Change in Particulars of Company Secretary and Director of Registered Non-Hong Kong Company	791(1), 791(2)(c)	335(1)(c)
NN8	Return of Change of Authorized Representative of Registered Non-Hong Kong Company (Appointment/Cessation)	791(1), 791(2)(b)	335(1)(b)
NN8C	Return of Change in Particulars of Authorized Representative of Registered Non-Hong Kong Company	791(1), 791(2)(c)	335(1)(c)
NN9	Return of Change of Address of Registered Non-Hong Kong Company	791(1), 791(2)(d)	335(1)(d)
NN10	Return of Alteration of Corporate Name of Registered Non-Hong Kong Company	778(8)	335(2)
NN11	Notice of Commencement of Liquidation and Appointment / Cessation and Change in Particulars of Liquidator / Provisional Liquidator of Registered Non-Hong Kong Company	793	337A
NN12	Return of Approved Name for Carrying on Business in Hong Kong by Registered Non-Hong Kong Company	782(4), 785(4)	337B(3)
NN13	Notice of Cessation of Place of Business in Hong Kong of Registered Non-Hong Kong Company	794(1)	339(1)
NN14	Notice of Dissolution of Registered Non-Hong Kong Company	795(1)	339AA(1)
NN15	Statement of Revision of Accounts of Registered Non-Hong Kong Company	790(4)	336A(3)

Form		Section Number of the new Companies Ordinance	Section Number of the existing Companies Ordinance
Form Number	Name of Form		
NNC1	Incorporation Form (Company Limited by Shares)	67(1)(b)	14A
NNC1G	Incorporation Form (Company Not Limited by Shares)	67(1)(b)	14A
NNC2	Notice of Change of Company Name	107(2), 770(2)	22(1A)
NNC3	Consent to Act as First Director	74	14A(2)(l), 18A
NNC4	Notice of Court Order Restraining Company from Use of Name	108(2)	22(3B)
NNC5	Application for Registration of Eligible Company	807(2)	Nil
NR1	Notice of Change of Address of Registered Office	658(3)	92(3)
NR2	Notice of Location of Registers and Company Records	309(2), 309(3), 351(4), 351(5), 354(1), 354(2), 385(2), 385(3), 471(4), 543(5), 619(2), 619(3), 628(2), 628(3), 641(4), 641(5), 648(4), 648(5)	74A(3), 88(3), 89(3), 95(3), 119A(2), 158A(2)
NR3	Notice Relating to Branch Register of Debenture Holders	312, 315	Nil
NR4	Notice Relating to Branch Register of Members	636, 639	Nil
NRE1	Notice to Minority Shareholders – Takeover (Right of Offeror to Buy out Minority Shareholders)	694(1)(a)	Paragraph 3 of Part 1 of the Ninth Schedule
NRE2	Notice to Minority Shareholders – Takeover (Right of Minority Shareholders to be Bought out by Offeror)	702(1)(a)	Paragraph 11 of Part 2 of the Ninth Schedule

Form		Section Number of the new Companies Ordinance	Section Number of the existing Companies Ordinance
Form Number	Name of Form		
NRE3	Notice to Minority Shareholders – General Offer for Share Buy-back (Right of Repurchasing Company to Buy out Minority Shareholders)	713(1)(a)	Nil
NRE4	Notice to Minority Shareholders – General Offer for Share Buy-back (Right of Minority Shareholders to be Bought out by Repurchasing Company)	720(1)(a)	Nil
NS1	Application for New Share Certificate	163(1)	71A(1)
NS3	Notice of Intention to Issue New Share Certificate	164(1)	71A(3)
NS4	Notice of Cancellation of Original Share Certificate and Issue of New Certificate	166(1)	71A(8)
NSC1	Return of Allotment	142(1)	45(1)
NSC2	Return of Share Redemption or Buy-back	270(1)	49G(1)
NSC3	Notice of Application to Court for Cancellation of Special Resolution for Payment out of Capital	263(4)	49N(3)(a)
NSC6	Notice of Permitted Share Commission	148(2)(c)(i)	46(1)(c)(ii), 46(1)(d)
NSC9	Notice of Application to Court for Restraining the Giving of Financial Assistance for Acquisition of Shares	286(5)	47G(4)
NSC11	Notice of Alteration of Share Capital	171(1)	54(1)(a), 54(1)(d), 54(1)(f)
NSC13	Notice of Redenomination of Share Capital	173(1)	Nil
NSC14	Notice of Reconversion of Stock into Shares	175(1)	54(1)(c)
NSC15	Notice of Variation of Rights Attached to Shares	184(1)	Nil

Form		Section Number of the new Companies Ordinance	Section Number of the existing Companies Ordinance
Form Number	Name of Form		
NSC16	Notice of Variation of Rights of a Class of Members (Company Without a Share Capital)	192(1)	Nil
NSC17	Solvency Statement	216(1), 259(1)	Nil
NSC18	Notice of Application to Court for Cancellation of Special Resolution for Reduction of Share Capital	220(4)	Nil
NSC19	Return of Reduction of Share Capital (by Special Resolution Supported by Solvency Statement)	224, 225	Nil
NSC20	Return of Reduction of Share Capital (Confirmed by Court)	230	Nil
NU1	Application for Re-registration as Company Limited by Shares	131	19(1)

1 November 2013

Ada L. L. CHUNG *Registrar of Companies*