Return of Allotment (Form NSC1)

Example on how to complete Schedule 2 to Form NSC1 where the shares are jointly held by 2 or more persons

In case a share is / shares are jointly held by 2 or more persons, please :

- (a) report the full name and address of the <u>joint shareholder whose name appears first in order in</u> <u>respect of such shares in the register of members</u> and fill in the number of shares allotted;
- (b) tick the relevant box to indicate that the shares are jointly held;
- (c) report all the other joint shareholders' names in the "Remarks" box; and
- (d) report the names and addresses of <u>all the other joint shareholders</u> in the subsequent boxes for reporting details of allottees and state "N/A" in the "No. of Shares Allotted" box provided. The box to indicate that the shares are jointly held should be ticked if it is so and the names of all the other joint shareholders should be stated in the "Remarks" box.

An example is provided below for reference only. Please seek independent legal advice on the reporting of the relevant particulars, if necessary.

Example:

1,000 shares are allotted to Chan Tai Man, Wong Siu Man and Lam Siu Wan *jointly*. Chan Tai Man is the joint shareholder whose name appears first in order in respect of the 1,000 shares in the register of members.

On the 1st Page of Schedule 2 中文姓名/名稱 獲配發股份的數目 陳大文 Name in Chinese No. of Shares Allotted 英文姓名 1,000 CHAN Tai Man Name in English 股份是聯名持有 或 OR 姓氏 Surname 名字 Other Names Shares are Jointly Held 英文名稱 (如適用・請加 ✔ 號 Name in English Please tick if applicable.) 室/樓/座等 批出 XXX XXX Flat / Floor / Block etc. **Address** 大 賔 XXX XXX Building 街道/屋苑/地段/村等 XXX XXX Street/Estate/Lot/Village etc. 區/市/省/州/郵遞區號等 District/City/Province/ XXX XXX State / Postal Code etc. 國家/地區 XXX XXX Country/Region 備註* The 1,000 shares are jointly held with Wong Siu Man and Lam Remarks' Siu Wan *例如聯名持有股份的詳情。For example, details of jointly held shares. 中文姓名/名稱 獲配發股份的數目 王小文 Name in Chinese No. of Shares Allotted 英文姓名 N/AWONG Siu Man Name in English 或 OR 姓氏 Surname 名字 Other Names 股份是聯名持有 Shares are Jointly Held 英文名稱 (ଶ)礦田, 請加 ✓ 號 Name in English Please tick if applicable. 室/樓/座等 XXX XXX Flat / Floor / Block etc. Address 大廈 XXX XXX Building 街道/屋苑/地段/村等 XXX XXX Street/Estate/Lot/Village etc. 區/市/省/州/郵遞區號等 District/City/Province/ XXX XXX State / Postal Code etc. 國家/地區 XXX XXX Country/Region 備註' The 1,000 shares are jointly held with Chan Tai Man and Lam Remarks* Siu Wan. *例如聯名持有股份的詳情。For example, details of jointly held shares.

On the 2nd Page of Schedule 2

1 中文姓名/名稱 Name in Chinese	林 小 雲		獲配發股份的數目 No. of Shares Allotted	
英文姓名 Name in English	LAM	Siu	Wan	N/A
或 OR	姓氏 Surname	名字 Oth	er Names	₩份是聯名持有 Shares are Jointly Held
英文名稱 Name in English				(如適用,請加 < 號 Please tick if applicable.)
Address	/樓/座等 or/Block etc.			. issue don il application.
	大廈 Building XXX XXX			
街道/屋苑/: Street/Estate/Lo				
	/郵遞區號等 y/Province/ ostal Code etc. XXX XXX			
Cod	國家/地區 untry/Region XXX XXX			
備註* Remarks*	The 1,000 shares	s are jointly he	ld with Chan	Tai Man and Wong
L	Siu Man. *例如聯名持有股份的詳情。	For example, details of iointly l	held shares.	

股份配發申報書 (表格 NSC1)

就如何填報表格 NSC1 附表二由 2 名或多於 2 名人士聯名持有股份的參考例子

如果股份是由2名或多於2名人士聯名持有,請:

- (a) 先填報*載於成員登記冊上就該些股份排名最先的聯名持有人士*的姓名/名稱及地址, 並填上獲配發股份的數目;
- (b) 在相關的空格內加上 ✓ 號,以顯示該些股份是聯名持有;
- (c) 在「備註」一欄內填寫所有其他聯名持有該些股份人士的姓名/名稱;及
- (d) 在接著於用以填報獲配發股份者詳情的相關空格內,填報*所有其他聯名持有人士*的姓名/名稱及地址及在「獲配發股份的數目」空格內填上「不適用」。相關的空格內亦應加上 ✓ 號,以顯示該些股份是聯名持有,並在「備註」一欄內填寫所有其他聯名持有該些股份人士的姓名/名稱。

以下例子只供参考。如有需要,請就申報有關詳情尋求獨立的法律意見。

例子:

陳大文、王小文及林小雲 <u>聯名</u>獲配發股份1,000股。在成員登記冊上,陳大文是就該1,000 股排名最先的聯名持有人士。

在附表二第一頁

1 中文姓名 Name in C	/名稱 hinese	陳大文		獲配發股份的數目 No. of Shares Allotted
英文姓名 Name in E	nglish	CHAN	Tai Man	1,000
或 OR		姓氏 Surname	名字 Other Names	₩ 股份是聯名持有 Shares are Jointly Held
英文名稱 Name in E	nglish			(如適用,請加 ✔ 號 Please tick if applicable.)
地址 Address		/ 樓 / 座 等 por / Block etc. XXX XXX		
		大廈 Building XXX XXX		
		地段/村等 ot/Village etc. XXX XXX		
區/市	/省/州/ District/Cit	/ 郵遞區號等 y/Province/ ostal Code etc.		
		國家/地區 untry/Region XXX XXX		
備註* Remarks*			文及林小雲聯名持有	
	آ مدند،	*例如聯名持有股份的詳情。	For example, details of jointly held shares.	
2 中文姓名 Name in Cl	/名稱 hinese		王小文	獲配發股份的數目 No. of Shares Allotted
英文姓名 Name in Eı	nglish	WONG	Siu Man	不適用
<u>或 OR</u>	Γ	姓氏 Surname	名字 Other Names	股份是聯名持有 Shares are Jointly Held
英文名稱 Name in Eı	nglish			(如適用,請加 ✓ 號 Please tick if applicable.)
地址 Address		/ 樓 / 座 等 or / Block etc. XXX XXX		
		大廈 Building XXX XXX		
		地段/村等 t/Village etc. XXX XXX		
	istrict / City	/郵遞區號等 y/Province/ stal Code etc.		
		國家/地區 untry/Region XXX XXX		
備註* Remarks*		該 1,000 股與陳大	文及林小雲聯名持有	

*例如聯名持有股份的詳情。For example, details of jointly held shares.

在附表二第二頁

1 中文姓名/名稱 Name in Chinese		獲配發股份的數目 No. of Shares Allotted	
英文姓名 Name in English	LAM	Siu Wan	不適用
或 OR	姓氏 Surname	名字 Other Names	₩ 股份是聯名持有 Shares are Jointly Held
英文名稱 Name in English			(知適用・請加 ~ 號 Please tick if applicable.)
Address	/樓/座等 por/Block etc.		rease as a applicable.
	大廈 Building XXX XXX		
街道/屋苑/ Street/Estate/Lo			
區/市/省/州/郵遞區號等 District/City/Province/ State/Postal Code etc.			
	國家/地區 untry/Region XXX XXX		
備註* Remarks*	該 1,000 股與陳大	文及王小文聯名持有	

*例如聯名持有股份的詳情。For example, details of jointly held shares.