

開放式基金型公司指明表格（印文本/唯讀光碟）價目表
Price List of Specified Forms for Open-ended Fund Companies (Hard Copies / CD-ROM)

A. 《證券及期貨條例》（第 571 章）第 IVA 部下使用的表格
For use under Part IVA of the Securities and Futures Ordinance (Cap. 571)

(I) 交付公司註冊處所用的指明表格
Specified Forms for delivery to the Companies Registry

	表格編號 Form Number	表格的描述 Description of Form	單價 (港元) Unit Price (HK\$)
成立 Incorporation			
1.	OFCNC1 (SFC)	開放式基金型公司－法團成立表格 Open-ended Fund Company - Incorporation Form	7
2.	OFCN1 (SFC)	開放式基金型公司－遷冊表格 Open-ended Fund Company – Re-domiciliation Form	7

B. 《證券及期貨（開放式基金型公司）規則》（第 571AQ 章）下使用的表格
For use under the Securities and Futures (Open-ended Fund Companies) Rules (Cap. 571AQ)

(I) 交付公司註冊處所用的指明表格
Specified Forms for delivery to the Companies Registry

	表格編號 Form Number	表格的描述 Description of Form	單價 (港元) Unit Price (HK\$)
核數師 Auditors			
1.	OFCA1	開放式基金型公司－免任核數師通知書 Open-ended Fund Company - Notice of Removal of Auditor	4
2.	OFCA2	開放式基金型公司－核數師辭職通知書 Open-ended Fund Company - Notice of Resignation of Auditor	4
更改公司名稱 Change of Company Name			
3.	OFCNC2 (SFC)	開放式基金型公司－更改公司名稱通知書 Open-ended Fund Company - Notice of Change of Company Name	4
董事及法律程序文件代理人（董事） Directors and Process Agent (Director)			
4.	OFCD1 (SFC)	開放式基金型公司－委任董事通知書 Open-ended Fund Company - Notice of Appointment of Director	4
5.	OFCD2	開放式基金型公司－停任董事通知書 Open-ended Fund Company - Notice of Cessation of Appointment of Director	4
6.	OFCD3	開放式基金型公司－更改董事詳情通知書 Open-ended Fund Company - Notice of Change in Particulars of Director	4
7.	OFCD4	開放式基金型公司－董事辭職通知書（由辭職董事交付） Open-ended Fund Company - Notice of Resignation of Director (by Resigning Director)	4

	表格編號 Form Number	表格的描述 Description of Form	單價 (港元) Unit Price (HK\$)
8.	OFCPA1	開放式基金型公司－更改法律程序文件代理人詳情通知書 (董事) Open-ended Fund Company - Notice of Change in Particulars of Process Agent (Director)	4
法團成立文書 Instrument of Incorporation			
9.	OFCIN1	開放式基金型公司－法團成立文書修改通知書 Open-ended Fund Company - Notice of Alteration of Instrument of Incorporation	4
註冊辦事處及登記冊備存地點 Registered Offices and Location of Registers			
10.	OFCR1	開放式基金型公司－註冊辦事處地址更改通知書 Open-ended Fund Company - Notice of Change of Address of Registered Office	4
11.	OFCR2	開放式基金型公司－登記冊及紀錄備存地點通知書 Open-ended Fund Company - Notice of Location of Registers and Records	4
接管人 / 承按人 Receiver / Mortgagee			
12.	OFCRC1	開放式基金型公司－委任接管人或經理人或承按人行使財產管有權通知書 Open-ended Fund Company - Notice of Appointment of Receiver or Manager or Mortgagee Entering into Possession of Property	4
13.	OFCRC2	開放式基金型公司－停任接管人或經理人或承按人不再管有財產通知書 Open-ended Fund Company - Notice of Cessation of Appointment of Receiver or Manager or Mortgagee Going out of Possession of Property	4
14.	OFCRC3	開放式基金型公司－更改接管人、經理人或管有財產的承按人的詳情通知書 Open-ended Fund Company - Notice of Change in Particulars of Receiver, Manager or Mortgagee in Possession of Property	4
15.	OFCRC4	開放式基金型公司－資產負債狀況說明書 Open-ended Fund Company - Statement of Affairs	7
16.	OFCRC5	開放式基金型公司－接管人或經理人的收支摘要 Open-ended Fund Company - Receiver or Manager's Abstract of Receipts and Payments	4
清盤 Winding Up			
17.	OFCW1	開放式基金型公司－有償債能力證明書 Open-ended Fund Company - Certificate of Solvency	4
18.	OFCW2	開放式基金型公司－因無能力繼續業務而依循特別程序自動清盤的陳述書 Open-ended Fund Company - Statement of Voluntary Winding Up under Special Procedure in Case of Inability to Continue Business	4
19.	OFCW3	開放式基金型公司－委任清盤人或臨時清盤人通知書 Open-ended Fund Company - Notice of Appointment of Liquidator or Provisional Liquidator	4
20.	OFCW4	開放式基金型公司－更改清盤人或臨時清盤人詳情通知書 Open-ended Fund Company - Notice of Change in Particulars of Liquidator or Provisional Liquidator	4
21.	OFCW5	開放式基金型公司－清盤人或臨時清盤人停任通知書 Open-ended Fund Company - Notice of Cessation to Act as Liquidator or Provisional Liquidator	4

(II) 非交付公司註冊處的指明表格**Specified Forms not for delivery to the Companies Registry**

	表格編號 Form Number	表格的描述 Description of Form	單價 (港元) Unit Price (HK\$)
1.	OFCRC6	向開放式基金型公司發出的委任接管人或經理人的通知書 Notice to Open-ended Fund Company of Appointment of Receiver or Manager	4

C. 《公司（清盤及雜項條文）條例》（第 32 章）下使用的表格**For use under the Companies (Winding Up and Miscellaneous Provisions) Ordinance (Cap. 32)****(I) 交付公司註冊處所用的指明表格****Specified Forms for delivery to the Companies Registry**

	表格編號 Form Number	表格的描述 Description of Form	單價 (港元) Unit Price (HK\$)
1.	OFCW3	開放式基金型公司－委任清盤人或臨時清盤人通知書 Open-ended Fund Company - Notice of Appointment of Liquidator or Provisional Liquidator	4
2.	OFCW6	開放式基金型公司－免除清盤人職務證明書 Open-ended Fund Company - Certificate of Release of Liquidator	4

D. 唯讀光碟 CD-ROM

光碟內容 Contents of CD-ROM	單價 (港元) Unit Price (HK\$)
光碟載有全套公司註冊處處長指明格式的開放式基金型公司的新表格。 表格以 MS Word 格式及可輸入資料的 Adobe pdf 格式製備。 CD-ROM contains a full set of new forms of open-ended fund companies specified by the Registrar of Companies. The forms are prepared in MS Word format and Adobe fillable pdf format.	30