



公司註冊處  
Companies Registry

開放式基金型公司－  
委任清盤人或臨時清盤人通知書  
Open-ended Fund Company –  
Notice of Appointment of  
Liquidator or Provisional Liquidator

表格  
Form **OFCW3**

商業登記號碼  
Business Registration Number

註 Note

1 公司名稱 Company Name

(清盤在進行中 In Liquidation)\*

2 本通知書是關於－

This Notice relates to —

請在適用的空格內加上 ✓ 號 Please tick the relevant box

☐ 上述開放式基金型公司  
the above Open-ended Fund Company

☐ 上述開放式基金型公司的子基金  
a sub-fund of the above Open-ended Fund Company

子基金名稱 Name of the sub-fund

(清盤在進行中 In Liquidation)

\*請刪去不適用者 Delete whichever does not apply

4

提交人資料 Presentor's Reference

姓名／名稱 Name:

地址 Address:

電話 Tel:

傳真 Fax:

電郵 Email:

檔號 Reference:

請勿填寫本欄 For Official Use

### 3 清盤方式 **Mode of Winding Up**

請在適用的空格內加上 ✓ 號 *Please tick the relevant box*

☐ 由法院作出清盤 **Winding Up by Court**

☐ 股東自動清盤 **Shareholders' Voluntary Winding Up**

☐ 債權人自動清盤 **Creditors' Voluntary Winding Up**

☐ 其他清盤方式 (請註明) **Others (Please specify)**

#### 4 委任詳情 Details of Appointment(s)

請在適用的空格內加上 ✓ 號 Please tick the relevant box(es)

- 1 身分 Capacity ☐ 清盤人 Liquidator ☐ 臨時清盤人 Provisional Liquidator
- 類別 Status ☐ 唯一 Sole ☐ 共同 Joint ☐ 共同及各別 Joint & Several
- 委任方式 Mode of Appointment ☐ 公司決議 Resolution of Company ☐ 債權人決議 Resolution of Creditors ☐ 董事決議 Resolution of Directors ☐ 法院命令 Court Order ☐ 破產管理署署長委任 by the Official Receiver

中文姓名  
Name in Chinese

英文姓名  
Name in English

姓氏  
Surname

名字  
Other Names

地址  
Address

(本處不接納郵政信箱號碼 Post office box numbers are not acceptable)

室／樓／座等  
Flat/Floor/Block etc.

大廈  
Building

街道／屋苑／地段／村等  
Street/Estate/Lot/Village etc.

區／市／省／州／郵遞區號等  
District/City/Province/State/  
Postal Code etc.

國家／地區  
Country/Region

8

電郵地址  
Email Address

9

身分識別 Identification

(a) 香港身分證號碼  
Hong Kong Identity Card  
Number

(b) 護照  
Passport

簽發國家／地區 Issuing Country/Region

號碼 Number

委任日期  
Date of Appointment

日 DD

月 MM

年 YYYY

**4 委任詳情 Details of Appointment(s)** (續上頁 cont'd)

請在適用的空格內加上 ✓ 號 Please tick the relevant box(es)

- 2 身分 Capacity** ☐ 清盤人 Liquidator ☐ 臨時清盤人 Provisional Liquidator
- 類別 Status** ☐ 唯一 Sole ☐ 共同 Joint ☐ 共同及各別 Joint & Several
- 委任方式 Mode of Appointment** ☐ 公司決議 Resolution of Company ☐ 債權人決議 Resolution of Creditors ☐ 董事決議 Resolution of Directors ☐ 法院命令 Court Order ☐ 破產管理署署長委任 by the Official Receiver

中文姓名  
Name in Chinese

英文姓名  
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姓氏  
Surname

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區／市／省／州／郵遞區號等  
District/City/Province/State/  
Postal Code etc.

國家／地區  
Country/Region

## 4 委任詳情 Details of Appointment(s) (續上頁 cont'd)

<p><b>8</b> 電郵地址 Email Address</p>							
<p><b>9</b> 身分識別 Identification</p> <p>(a) 香港身分證號碼 Hong Kong Identity Card Number</p>							
<p>(b) 護照 Passport</p>							
	簽發國家／地區 Issuing Country／Region						
	號碼 Number						
<p>委任日期 Date of Appointment</p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%; height: 30px;"></td> <td style="width: 33%; height: 30px;"></td> <td style="width: 33%; height: 30px;"></td> </tr> <tr> <td style="text-align: center;">日 DD</td> <td style="text-align: center;">月 MM</td> <td style="text-align: center;">年 YYYY</td> </tr> </table>				日 DD	月 MM	年 YYYY
日 DD	月 MM	年 YYYY					

<p><b>6</b> 簽署 Signed :</p> <p>姓名 Name : _____</p> <p style="text-align: center;">清盤人／臨時清盤人* Liquidator／Provisional Liquidator *</p> <p>日期 Date : _____</p> <p style="text-align: center;">日 DD / 月 MM / 年 YYYY</p>	<p>簽署 Signed :</p> <p>姓名 Name : _____</p> <p style="text-align: center;">清盤人／臨時清盤人* Liquidator／Provisional Liquidator *</p> <p>日期 Date : _____</p> <p style="text-align: center;">日 DD / 月 MM / 年 YYYY</p>
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\*請刪去不適用者 Delete whichever does not apply

**《證券及期貨(開放式基金型公司)規則》(第 571AQ 章)第 177 及 188 條和  
《公司(清盤及雜項條文)條例》(第 32 章)  
第 195(a)、228A(10) 及 253(1)(b)條規定交付的**

**開放式基金型公司 — 委任清盤人或臨時清盤人通知書**

**填表須知 — 表格 OFCW3**

**附註**

**引言**

1. 本表格是用以通知公司註冊處處長(「處長」)有關開放式基金型公司／該公司的子基金清盤人或臨時清盤人的委任。根據《公司(清盤及雜項條文)條例》第 228A 條，獲委任的臨時清盤人須在公司／該公司的子基金清盤開始後的 15 日內交付本表格；在自動清盤案中獲委任的清盤人則須在委任日期後的 15 日內交付本表格；獲法院委任的清盤人或臨時清盤人亦須盡快以本表格通知處長。
2. 如委任超過兩名清盤人或臨時清盤人，請使用另一份表格 OFCW3。如申報其詳情的更改，請使用表格 OFCW4。如申報有關清盤人或臨時清盤人的停任，請使用表格 OFCW5。
3. 請劃一以中文或英文申報各項所需資料。如以中文申報，請用繁體字。以手寫方式填寫的表格或不會被公司註冊處接納。
4. 請提供提交人資料。除非有特別事項需要公司註冊處注意，否則無須另加附函。
5. 你可郵寄本表格到「香港金鐘道 66 號金鐘道政府合署 14 樓公司註冊處」，或親身到上址交付。如以郵寄方式交付表格而處長並沒有收到該表格的話，則該表格不會視作曾為遵從《公司(清盤及雜項條文)條例》中有關條文的規定而交付處長。

**簽署**

6. 本表格必須由每一位獲委任的清盤人或臨時清盤人簽署。公司註冊處不接納未簽妥的表格。

**商業登記號碼**

7. 請填報由稅務局轄下的商業登記署所發出的商業登記號碼(即商業登記證號碼的首 8 位數字)，「-」後的數字無須填寫。

**委任詳情 (第 4 項)**

8. 請提供清盤人或臨時清盤人的電郵地址(如有的話)以方便聯絡。如電郵地址其後有任何更改，請以表格 OFCW4 通知公司註冊處。
9. 請申報清盤人或臨時清盤人的香港身分證號碼。如該人並非香港身分證持有人，請申報其所持護照的號碼及簽發國家／地區。如該人並非香港身分證持有人，亦沒有任何護照，則請在有關的空格內填上「無」。

**OPEN-ENDED FUND COMPANY –  
NOTICE OF APPOINTMENT OF LIQUIDATOR OR PROVISIONAL LIQUIDATOR**

**For the purposes of rules 177 and 188 of  
Securities and Futures (Open-ended Fund Companies) Rules (Cap. 571AQ) and  
sections 195(a), 228A(10) and 253(1)(b) of  
Companies (Winding Up and Miscellaneous Provisions) Ordinance (Cap. 32)**

**Notes for Completion of Form OFCW3**

**Introduction**

1. This form should be used to notify the Registrar of Companies (the Registrar) of the appointment of the liquidator or provisional liquidator of an open-ended fund company / sub-fund of the company. A provisional liquidator appointed under section 228A of the Companies (Winding Up and Miscellaneous Provisions) Ordinance should deliver this form within 15 days after the commencement of the winding up of the company / sub-fund of the company. A liquidator appointed in a voluntary winding up should deliver this form within 15 days after the date of appointment. A liquidator or provisional liquidator appointed by the court should give notice of the appointment to the Registrar in this form as soon as possible.
2. If more than two liquidators or provisional liquidators are appointed, please use a separate Form OFCW3. To report any changes in their particulars, please use Form OFCW4. To report that a liquidator or provisional liquidator has ceased to act, please use Form OFCW5.
3. Please fill in all particulars and complete all items consistently in either Chinese or English. Traditional Chinese characters should be used if the form is completed in Chinese. Please note that handwritten forms may be rejected by the Companies Registry.
4. Please complete the Presentor's Reference. Unless the presentor needs to raise a specific issue for the attention of the Companies Registry, no covering letter is required.
5. This form can be delivered by post or in person to "The Companies Registry, 14th floor, Queensway Government Offices, 66 Queensway, Hong Kong". If the form is delivered by post but the Registrar has not received it, the form will not be regarded as having been delivered to the Registrar in satisfaction of the relevant provision of the Companies (Winding Up and Miscellaneous Provisions) Ordinance.

**Signature**

6. This form must be signed by each of the newly appointed liquidators or provisional liquidators. A form which is not properly signed will be rejected by the Companies Registry.

**Business Registration Number**

7. Please provide the business registration number (i.e. the first 8 digits of the Business Registration Certificate number) issued by the Business Registration Office of the Inland Revenue Department. The numbers after [-] are not required.

**Details of Appointment(s) (Section 4)**

8. Please provide the email address of the liquidator or provisional liquidator, if any, to facilitate electronic communication. If there are any subsequent changes in the email address, please notify the Companies Registry by completing Form OFCW4.
9. The Hong Kong Identity Card number or, in the absence of a Hong Kong Identity Card, the number and issuing country / region of the passport of the liquidator or provisional liquidator should be given. If he or she holds neither a Hong Kong Identity Card nor a passport, please state 'Nil' in the boxes provided.