



Companies Registry

Form **OFCRC6**

Notice to Open-ended Fund Company of Appointment of Receiver or Manager

Business Registration Number

Note

To :

(Company Name)

1 Particulars of Appointment

1 Name

Surname

Other names

Address

(Please tick the appropriate box)

Capacity

☐

Receiver

☐

Manager

☐

Receiver and Manager

2 Name

Surname

Other names

Address

(Please tick the appropriate box)

Capacity

☐

Receiver

☐

Manager

☐

Receiver and Manager

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2 **2 Notice** (Please tick the appropriate box)

I / We * hereby give notice that :

☐ Under an order of the ^(a) _____
dated _____ in the matter of ^(b) _____

I was / we were * appointed joint * receiver / manager / receiver and manager *
of ^(c) _____

of the property of the above-named company.

☐ On the _____ day of _____ I was / we were *
appointed joint * receiver / manager / receiver and manager * of ^(d) _____

of the property of the above-named company under the powers contained in ^(e) _____

_____ dated _____ .

3	Signed :	Signed :
Name :	_____ Receiver / Manager / Receiver & Manager *	Name : _____ Receiver / Manager / Receiver & Manager *
Date :	_____	Date : _____

* Delete whichever does not apply

NOTICE TO OPEN-ENDED FUND COMPANY OF APPOINTMENT OF RECEIVER OR MANAGER

**For the purposes of rule 172 of
Securities and Futures (Open-ended Fund Companies) Rules (Cap. 571AQ) and
section 300A(1)(a) of
Companies (Winding Up and Miscellaneous Provisions) Ordinance (Cap. 32)**

Notes for Completion of Form OFCRC6

1. Under section 300A(1)(a) of the Companies (Winding Up and Miscellaneous Provisions) Ordinance (the Ordinance), if a receiver or manager of the whole or substantially the whole of the property of the open-ended fund company is appointed on behalf of the holders of any debentures of the open-ended fund company secured by a floating charge, the receiver or manager shall notify the open-ended fund company of his appointment.

Under section 300A(3) of the Ordinance, the receiver or manager of the property of an open-ended fund company shall also notify the open-ended fund company of his appointment where the receiver or manager has been appointed under the power contained in any other instrument.

This form should be used for such notification.

2. Please state the following in the appropriate space —
 - (a) the name of Court making the order;
 - (b) short title of action;
 - (c) short recital from the Order of the property over which the appointment is made;
 - (d) short description of the property over which the appointment is made; and
 - (e) full description of the instrument under which the appointment is made.
3. The form must be signed by the receiver or the manager. If joint receivers or managers are appointed, all receivers or managers must sign the form.

Business Registration Number

4. Please provide the business registration number (i.e. the first 8 digits of the Business Registration Certificate number) issued by the Business Registration Office of the Inland Revenue Department. The numbers after [-] are not required.