



公司註冊處  
Companies Registry

開放式基金型公司－  
更改接管人、經理人或  
管有財產的承按人的詳情通知書

**Open-ended Fund Company –  
Notice of Change in Particulars of Receiver, Manager or  
Mortgagee in Possession of Property**

表格  
Form **OFCRC3**

商業登記號碼  
Business Registration Number

註 Note

**1 公司名稱 Company Name**

**2 接管人或經理人／管有財產的承按人詳情更改  
Change in Particulars of Receiver or Manager／Mortgagee in Possession of Property**

**A. 現時在公司註冊處登記的詳情  
Particulars Currently Registered with the Companies Registry**

請在適用的空格內加上 ✓ 號 Please tick the relevant box

身分

Capacity

☐

接管人或經理人

Receiver or Manager

☐

管有財產的承按人

Mortgagee in Possession of Property

承按人 (法人團體) Mortgagee (Body Corporate)

名稱 Name

或 OR

3

**提交人資料 Presentor's Reference**

姓名／名稱 Name:

地址 Address:

電話 Tel:

傳真 Fax:

電郵 Email:

檔號 Reference:

**請勿填寫本欄 For Official Use**

2 接管人或經理人／管有財產的承按人詳情更改  
Change in Particulars of Receiver or Manager／Mortgagee in Possession of Property

A. 現時在公司註冊處登記的詳情 (續上頁)  
Particulars Currently Registered with the Companies Registry (cont'd)

接管人或經理人／承按人 (自然人)  
Receiver or Manager／Mortgagee (Natural Person)

中文姓名  
Name in Chinese

英文姓名  
Name in English

姓氏  
Surname

名字  
Other Names

7 身分識別  
Identification




香港身分證號碼  
Hong Kong Identity Card Number

護照號碼  
Passport Number

8 B. 更改詳情 Details of Change(s)

請只填報有更改的項目 Please complete item(s) with change(s) only

(a) 承按人的名稱(法人團體)  
Name of Mortgagee (Body Corporate)

生效日期  
Effective Date

日 DD 月 MM 年 YYYY

(b) 接管人或經理人／承按人的中文姓名(自然人)  
Name in Chinese of Receiver or Manager／Mortgagee (Natural Person)



日 DD 月 MM 年 YYYY

(c) 接管人或經理人／承按人的英文姓名(自然人)  
Name in English of Receiver or Manager／Mortgagee (Natural Person)

姓氏 Surname

日 DD 月 MM 年 YYYY

名字 Other Names

|  |
|--|
|  |
|--|

## 2 接管人或經理人／管有財產的承按人詳情更改

### Change in Particulars of Receiver or Manager / Mortgagee in Possession of Property

- 8 B. 更改詳情 Details of Change(s) (續上頁 cont'd)  
請只填報有更改的項目 Please complete item(s) with change(s) only

9 (d) 地址  
Address

|  |  |  |
|--|--|--|
| 室／樓／座等<br>Flat / Floor / Block etc.                                    |  | 生效日期<br>Effective Date<br>日 DD 月 MM 年 YYYY |
| 大廈<br>Building   |  |  |
| 街道／屋苑／地段／村等<br>Street / Estate / Lot / Village etc.                    |  |  |
| 區／市／省／州／郵遞區號等<br>District / City / Province / State / Postal Code etc. |  |  |
| 國家／地區<br>Country / Region  |  |  |

10 (e) 電郵地址  
Email Address

|  |  |  |                  |
|--|--|--|------------------|
|  |  |  | 日 DD 月 MM 年 YYYY |
|--|--|--|------------------|

(f) 香港身分證號碼  
Hong Kong Identity Card Number

|  |     |  |  |                  |
|--|-----|--|--|------------------|
|  | ( ) |  |  | 日 DD 月 MM 年 YYYY |
|--|-----|--|--|------------------|

(g) 護照  
Passport

|  |  |  |                  |
|--|--|--|------------------|
|  |  |  | 日 DD 月 MM 年 YYYY |
|--|--|--|------------------|

簽發國家／地區 Issuing Country / Region

|  |  |  |                  |
|--|--|--|------------------|
|  |  |  | 日 DD 月 MM 年 YYYY |
|--|--|--|------------------|

號碼 Number

請在適用的空格內加上 ✓ 號 Please tick the relevant box

(h) 類別  
Status

|                                     |                                      |   |  |  |  |                  |
|-------------------------------------|--------------------------------------|---|--|--|--|------------------|
| <input type="checkbox"/> 單獨<br>Sole | <input type="checkbox"/> 共同<br>Joint | <input type="checkbox"/> 共同及各別<br>Joint and Several |  |  |  | 日 DD 月 MM 年 YYYY |
|-------------------------------------|--------------------------------------|---|--|--|--|------------------|

## 5 簽署 Signed :

姓名 Name : \_\_\_\_\_ 日期 Date : \_\_\_\_\_

(董事／公司秘書／授權代表\*)

本表格第 2A 項所述的人士或公司

(Director / Company Secretary / Authorized Representative \*)

Person or Company named in Section 2A of this Form

\*請註明以何種身分簽署 Please indicate in which capacity you are signing this form

**《證券及期貨(開放式基金型公司)規則》(第 571AQ 章)  
第 175(3)條規定交付的**

**開放式基金型公司 —  
更改接管人、經理人或管有財產的承按人的詳情通知書**

**填表須知 — 表格 OFCRC3**

**附註**

**引言**

1. 如開放式基金型公司的委任接管人或經理人或承按人行使財產管有權通知書(表格 OFCRC1)已交付公司註冊處處長(「處長」)登記，而該接管人或經理人或承按人的詳情有所更改，該人須在該項更改的日期後的 **15** 日內，將關於該項更改的陳述，以本表格交付處長登記。
2. 請劃一以中文或英文申報各項所需資料。此外，所選用的語文必須與有關的表格 OFCRC1 所用的語文相同。如以中文申報，請用繁體字。以手寫方式填寫的表格或不會被公司註冊處接納。
3. 請提供提交人資料。除非有特別事項需要公司註冊處注意，否則無須另加附函。
4. 你可郵寄本表格到「香港金鐘道 66 號金鐘道政府合署 14 樓公司註冊處」，或親身到上址交付。如以郵寄方式交付表格而處長並沒有收到該表格的話，則該表格不會視作曾為遵從《證券及期貨(開放式基金型公司)規則》中有關條文的規定而交付處長。

**簽署**

5. 本表格必須由本表格第 2A 項所述的接管人、經理人、管有財產的承按人(或由承按人的授權代表)親自簽署。如承按人屬法人團體，則本表格必須由其一名董事、公司秘書或授權代表簽署。公司註冊處不接納未簽妥的表格。

**商業登記號碼**

6. 請填報由稅務局轄下的商業登記署所發出的商業登記號碼(即商業登記證號碼的首 8 位數字)，「-」後的數字無須填寫。

**接管人或經理人／管有財產的承按人詳情更改 (第 2 項)**

7. 請填報屬自然人的接管人、經理人或承按人已在公司註冊處登記的香港身分證號碼或護照號碼，以方便本處識別身分。如該項資料未曾在本處登記，請在有關的空格內填報「無」。
8. 請在適當的空格內申報接管人、經理人或承按人的新詳情，並在相關的空格內註明生效日期。沒有更改的詳情無需填報。
9. 請申報接管人、經理人或承按人的詳細地址，本處不接納「轉交」地址及郵政信箱號碼。如承按人屬法人團體，請註明其註冊辦事處或主要辦事處的地址。
10. 請提供或更新接管人、經理人或承按人的電郵地址(如有的話)以方便聯絡。如電郵地址其後有任何更改，亦請以本表格通知公司註冊處。

**OPEN-ENDED FUND COMPANY –  
NOTICE OF CHANGE IN PARTICULARS OF RECEIVER, MANAGER OR  
MORTGAGEE IN POSSESSION OF PROPERTY**

**For the purposes of rule 175(3) of  
Securities and Futures (Open-ended Fund Companies) Rules (Cap. 571AQ)**

**Notes for Completion of Form OFCRC3**

**Introduction**

1. If a notice of appointment of receiver or manager or mortgagee entering into possession of property of an open-ended fund company (Form OFCRC1) has been delivered to the Registrar of Companies (the Registrar) for registration, the receiver or manager or the mortgagee must, within 15 days after the date of any change in his/her/its particulars, deliver a statement of that change in this form to the Registrar for registration.
2. Please fill in all particulars and complete all items consistently in either Chinese or English **AND** in the same language used in the related Form OFCRC1. Traditional Chinese characters should be used if the form is completed in Chinese. Please note that handwritten forms may be rejected by the Companies Registry.
3. Please complete the Presentor's Reference. Unless the presentor needs to raise a specific issue for the attention of the Companies Registry, no covering letter is required.
4. This form can be delivered by post or in person to "The Companies Registry, 14th floor, Queensway Government Offices, 66 Queensway, Hong Kong". If the form is delivered by post but the Registrar has not received it, the form will not be regarded as having been delivered to the Registrar in satisfaction of the relevant provision of the Securities and Futures (Open-ended Fund Companies) Rules.

**Signature**

5. This form must be signed by the receiver, manager or mortgagee (or by his or her authorized representative) named in Section 2A of this form personally. If the mortgagee is a body corporate, this form must be signed by a director, company secretary or an authorized representative. A form which is not properly signed will be rejected by the Companies Registry.

**Business Registration Number**

6. Please provide the business registration number (i.e. the first 8 digits of the Business Registration Certificate number) issued by the Business Registration Office of the Inland Revenue Department. The numbers after [-] are not required.

**Change in Particulars of Receiver or Manager / Mortgagee in Possession of Property (Section 2)**

7. If the receiver, manager or mortgagee, who is a natural person, has registered the particulars in respect of his or her Hong Kong Identity Card number or passport number with the Companies Registry, please provide such number for identification purpose. If no such particulars have been registered, please state 'Nil' in the boxes provided.
8. Please state only the **new particulars** of the receiver, manager or mortgagee in the appropriate box(es) provided and specify the effective date of change in the corresponding box. There is no need to state those particulars which remain unchanged.
9. Please provide the full address of the receiver, manager or mortgagee. 'Care of' addresses and post office box numbers are not acceptable. If the mortgagee is a body corporate, please supply the address of the registered or principal office.
10. Please provide or update the email address of the receiver, manager or mortgagee, if any, to facilitate electronic communication. If there are any subsequent changes in the email address, please notify the Companies Registry by completing this form.