



公司註冊處
Companies Registry

開放式基金型公司－
委任接管人或經理人或
承按人行使財產管有權通知書

Open-ended Fund Company –
Notice of Appointment of Receiver or Manager or
Mortgagee Entering into Possession of Property

表格
Form **OFCRC1**

公司編號 Company Number

OF

1 公司名稱 Company Name

(有接管人／經理人獲委任 Receiver／Manager appointed)*

2 委任接管人或經理人 Appointment of Receiver or Manager

A. 委任方式 Mode of Appointment

請在適用的空格內加上 ✓ 號 Please tick the relevant box

7

根據法院命令委任 Appointment by a Court Order

法院案件編號 Court Action Number

命令日期 Date of Order

<input type="text"/>	<input type="text"/>	<input type="text"/>
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日 DD

月 MM

年 YYYY

根據任何文書委任 Appointment under an Instrument

文書描述 Description of Instrument

設立日期 Date of Creation

<input type="text"/>	<input type="text"/>	<input type="text"/>
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日 DD

月 MM

年 YYYY

B. 委任日期 Date of Appointment

<input type="text"/>	<input type="text"/>	<input type="text"/>
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日 DD

月 MM

年 YYYY

* 請刪去不適用者 Delete whichever does not apply

3

提交人資料 Presentor's Reference

姓名 Name:
地址 Address:

電話 Tel: 傳真 Fax:
電郵 Email:
檔號 Reference:

請勿填寫本欄 For Official Use

2 委任接管人或經理人 **Appointment of Receiver or Manager** (續上頁 cont'd)

C. 接管人或經理人的詳情 **Particulars of Receiver or Manager**

(如委任超過兩名接管人／經理人，請用續頁填報 Use Continuation Sheet if more than 2 receivers/managers are appointed)

請在適用的空格內加上 ✓ 號 Please tick the relevant box(es)

身分 Capacity 接管人 Receiver 經理人 Manager 接管人兼經理人 Receiver and Manager
類別 Status 單獨 Sole 共同 Joint 共同及各別 Joint and Several

1 中文姓名 Name in Chinese

英文姓名 Name in English 姓氏 Surname

Other Names 名字

8

地址 Address

單位／樓層／座數 Flat/Floor/Block

大廈／屋苑 Building/Estate

街道名稱／區／市 Name of Street/District/City

國家／地區 Country/Region

9

電郵地址 Email Address

10

身分證明 Identification (a) 香港身分證號碼 Hong Kong Identity Card Number

(b) 護照簽發國家／地區 Passport Issuing Country/Region

護照號碼 Passport Number

2 中文姓名 Name in Chinese

英文姓名 Name in English 姓氏 Surname

Other Names 名字

8

地址 Address

單位／樓層／座數 Flat/Floor/Block

大廈／屋苑 Building/Estate

街道名稱／區／市 Name of Street/District/City

國家／地區 Country/Region

9

電郵地址 Email Address

10

身分證明 Identification (a) 香港身分證號碼 Hong Kong Identity Card Number

(b) 護照簽發國家／地區 Passport Issuing Country/Region

護照號碼 Passport Number

D. 該項委任所涉及的財產的描述

Description of the Property over which the Appointment is Made

(如財產是有關房地產，請填報財產的地址 If the property is related to landed property, please state the address of the property)

Empty box for property description.

3 承按人行使財產管有權 Mortgagee Entering into Possession of Property

A. 承按人資料 Particulars of Mortgagee

承按人的名稱 (法人團體) Name of Mortgagee (Body Corporate)

或 **OR**

承按人的姓名 (自然人) Name of Mortgagee (Natural Person)

中文姓名
Name in Chinese

英文姓名
Name in English

姓氏
Surname

名字
Other Names

11

身分證明
Identification

(a) 香港身分證號碼
Hong Kong Identity Card Number

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	()
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(b) 護照簽發國家/地區
Passport Issuing Country/Region

護照號碼
Passport Number

12

轉換承按人的日期
Date of Change of Mortgagee

<input type="text"/>	<input type="text"/>	<input type="text"/>
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日 DD

月 MM

年 YYYY

13

承按人地址 Address of Mortgagee

單位/樓層/座數 Flat/Floor/Block

大廈/屋苑 Building/Estate

街道名稱/區/市 Name of Street/District/City

國家/地區 Country/Region

14

承按人電郵地址 Email Address of Mortgagee

**B. 行使財產管有權的日期
Date of Entering into Possession of Property**

<input type="text"/>	<input type="text"/>	<input type="text"/>
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日 DD

月 MM

年 YYYY

C. 承按人行使管有權的財產的描述

Description of Property Entered into Possession by the Mortgagee

(如財產是有關房地產，請填報財產的地址 If the property is related to landed property, please state the address of the property)

本通知書包括的續頁數目
Number of Continuation Sheet(s) included in this Notice

5 簽署 Signed :

姓名 Name : _____ 日期 Date : _____
(董事 / 公司秘書 / 授權代表*) 日 DD / 月 MM / 年 YYYY
委任人* /

本表格第 3A 項所述的承按人*

(Director / Company Secretary / Authorized Representative *)
Appointor * /
Mortgagee named in Section 3A of this Form *

* 請刪去不適用者 Delete whichever does not apply

接管人或經理人的詳情 (第 2C 項)
Particulars of Receiver or Manager (Section 2C)

請在適用的空格內加上 ✓ 號 Please tick the relevant box(es)

- | | | | | | | |
|----------------|--------------------------|-----------------|--------------------------|----------------|--------------------------|---------------------------------|
| 身分
Capacity | <input type="checkbox"/> | 接管人
Receiver | <input type="checkbox"/> | 經理人
Manager | <input type="checkbox"/> | 接管人兼經理人
Receiver and Manager |
| 類別
Status | <input type="checkbox"/> | 單獨
Sole | <input type="checkbox"/> | 共同
Joint | <input type="checkbox"/> | 共同及各別
Joint and Several |

1 中文姓名
Name in Chinese

英文姓名 姓氏
Name in English Surname

名字
Other Names

8 地址
Address

單位/樓層/座數 Flat/Floor/Block

大廈/屋苑 Building/Estate

街道名稱/區/市 Name of Street/District/City

國家/地區 Country/Region

9 電郵地址
Email Address

10 身分證明
Identification (a) 香港身分證號碼
Hong Kong Identity Card Number

(b) 護照簽發國家/地區
Passport Issuing Country/Region

護照號碼
Passport Number

2 中文姓名
Name in Chinese

英文姓名 姓氏
Name in English Surname

名字
Other Names

8 地址
Address

單位/樓層/座數 Flat/Floor/Block

大廈/屋苑 Building/Estate

街道名稱/區/市 Name of Street/District/City

國家/地區 Country/Region

9 電郵地址
Email Address

10 身分證明
Identification (a) 香港身分證號碼
Hong Kong Identity Card Number

(b) 護照簽發國家/地區
Passport Issuing Country/Region

護照號碼
Passport Number

《證券及期貨(開放式基金型公司)規則》(香港法例第 571AQ 章)
第 174(1) 及 174(3)條規定交付的

開放式基金型公司 —
委任接管人或經理人或承按人行使財產管有權通知書

填表須知 — 表格 OFCRC1

附註

引言

1. (a) 如任何人取得委任開放式基金型公司財產的接管人或經理人的命令，或如任何人根據任何文書所載的權力委任上述接管人或經理人，該人須在該項命令或根據該權力作出委任(視情況所需而定)的日期後的7日內，將一份包含關於該事實的陳述的通知，以本表格交付公司註冊處處長(「處長」)登記。
(b) 如任何人以承按人身分，就開放式基金型公司財產行使管有權，該人須在開始行使管有權的日期後的7日內，將一份包含關於該事實的陳述的通知，以本表格交付處長登記。
2. 請劃一以中文或英文申報各項所需資料。如以中文申報，請用繁體字。以手寫方式填寫的表格或不會被公司註冊處接納。
3. 請提供提交人資料。除非有特別事項需要公司註冊處注意，否則無須另加附函。
4. 你可郵寄本表格到「香港金鐘道 66 號金鐘道政府合署 14 樓公司註冊處」，或親身到上址交付。如以郵寄方式交付表格而處長並沒有收到該表格的話，則該表格不會視作曾為遵從《證券及期貨(開放式基金型公司)規則》中有關條文的規定而交付處長。

簽署

5. (a) 如本表格是用以申報接管人或經理人的委任，則表格必須由承按人或獲得第 2A 項所述的法院命令的人士(統稱為委任人)簽署。
(b) 如本表格是用以申報承按人行使財產管有權，則表格必須由第 3A 項所述的承按人簽署。

如委任人或承按人屬法人團體，本表格必須由其一名董事、公司秘書或授權代表簽署。如委任人或承按人屬自然人，則本表格必須由委任人或承按人親自簽署，或由其授權代表簽署。

公司註冊處不接納未簽妥的表格。

費用

6. 本表格必須連同註冊費用港幣 40 元一併交付，否則公司註冊處不會接納。如以港幣劃線支票繳付費用，抬頭請註明「公司註冊處」。請勿郵寄現金。

委任接管人或經理人的方式 (第 2A 項)

7. 如接管人或經理人是由法院命令所委任，請述明該項法院命令的有關資料。提交人無須將該法院命令交付本處。

接管人或經理人的詳情 (第 2C 項)

8. 請申報接管人或經理人的詳細地址，本處不接納「轉交」地址或郵政信箱號碼。

9. 請提供接管人或經理人的電郵地址(如有的話)以方便聯絡。如電郵地址其後有任何更改，請以表格 **OFCRC3** 通知公司註冊處。
10. 接管人或經理人須填報其香港身分證號碼。如該人並非香港身分證持有人，請填報其所持護照的號碼及簽發國家／地區。如該人並非香港身分證持有人，亦沒有任何國家／地區的護照，則請在有關的空格內填報「無」。

行使財產管有權的承按人資料 (第 3A 項)

11. 屬自然人的承按人須申報其香港身分證號碼。如該人並非香港身分證持有人，請申報其所持護照的號碼及簽發國家／地區。如該人並非香港身分證持有人，亦沒有任何國家／地區的護照，則請在有關的空格內填報「無」。
12. 如曾經轉換承按人，請在有關的空格內填報現時的承按人開始享有該項按揭權利的日期。
13. 請申報承按人的詳細地址，本處不接納「轉交」地址或郵政信箱號碼。如承按人屬法人團體，請註明其註冊辦事處或主要辦事處的地址。
14. 請提供承按人的電郵地址(如有的話)以方便聯絡。如電郵地址其後有任何更改，請以表格 **OFCRC3** 通知公司註冊處。

**OPEN-ENDED FUND COMPANY –
NOTICE OF APPOINTMENT OF RECEIVER OR MANAGER OR MORTGAGEE
ENTERING INTO POSSESSION OF PROPERTY**

**For the purposes of rules 174(1) and 174(3) of
the Securities and Futures (Open-ended Fund Companies) Rules (Cap. 571AQ)**

Notes for Completion of Form OFCRC1

Introduction

1. (a) If a person obtains an order for the appointment of a receiver or manager of the property of an open-ended fund company, or appoints such a receiver or manager under the powers contained in an instrument, the person must, within 7 days after the date of the order or of the appointment under those powers (as the case requires), deliver to the Registrar of Companies (the Registrar) for registration, a notice including a statement of that fact in this form.

(b) If a person enters into possession of the property of an open-ended fund company, as mortgagee, the person must, within 7 days after the date of entering into possession, deliver to the Registrar for registration, a notice including a statement of that fact in this form.
2. Please fill in all particulars and complete all items consistently in either Chinese or English. Traditional Chinese characters should be used if the form is completed in Chinese. Please note that handwritten forms may be rejected by the Companies Registry.
3. Please complete the Presentor's Reference. Unless the presentor needs to raise a specific issue for the attention of the Companies Registry, no covering letter is required.
4. This form can be delivered by post or in person to "The Companies Registry, 14th floor, Queensway Government Offices, 66 Queensway, Hong Kong". If the form is delivered by post but the Registrar has not received it, the form will not be regarded as having been delivered to the Registrar in satisfaction of the relevant provision of the Securities and Futures (Open-ended Fund Companies) Rules.

Signature

5. (a) If this form is to give notice of appointment of receiver or manager, it must be signed by the mortgagee or the person who obtained the court order stated in Section 2A of this form (collectively referred to as the appointor).

(b) If this form is to give notice of mortgagee entering into possession of property, it must be signed by the mortgagee named in Section 3A of this form.

If the appointor or mortgagee is a body corporate, this form must be signed by a director, company secretary or an authorized representative. If the appointor or mortgagee is a natural person, this form must be signed by the appointor or mortgagee personally or by his or her authorized representative.

A form which is not properly signed will be rejected by the Companies Registry.

Fee

6. This form must be delivered with the registration fee of HK\$40. A form which is not delivered with the correct fee will be rejected by the Companies Registry. If the fee is paid by cheque, the cheque should be crossed and issued in Hong Kong Dollars payable to 'Companies Registry'. Please do not send cash.

Mode of Appointment of Receiver or Manager (Section 2A)

7. If the receiver or manager is appointed by a court order, please state the particulars of the court order. Presentors need not deliver a copy of the court order to the Companies Registry.

Particulars of Receiver or Manager (Section 2C)

8. Please provide the full address of the receiver or manager. 'Care of' addresses or post office box numbers are not acceptable.

9. Please provide the email address of the receiver or manager, if any, to facilitate electronic communication. If there are any subsequent changes in the email address, please notify the Companies Registry by completing Form OFCRC3.
10. The Hong Kong Identity Card number or, in the absence of which, the number and issuing country/region of the passport of the receiver or manager should be given. If he or she holds neither a Hong Kong Identity Card nor a passport, please state 'Nil' in the boxes provided.

Particulars of Mortgagee Entering into Possession of Property (Section 3A)

11. The Hong Kong Identity Card number or, in the absence of which, the number and issuing country/region of the passport of the mortgagee who is a natural person should be given. If he or she holds neither a Hong Kong Identity Card nor a passport, please state 'Nil' in the boxes provided.
12. If there has been a change of mortgagee, please fill in the date on which the current mortgagee became entitled to the mortgage in the box provided.
13. Please provide the full address of the mortgagee. 'Care of' addresses or post office box numbers are not acceptable. If the mortgagee is a body corporate, please provide the address of the registered or principal office.
14. Please supply the email address of the mortgagee, if any, to facilitate electronic communication. If there are any subsequent changes in the email address, please notify the Companies Registry by completing Form OFCRC3.