



公司註冊處  
Companies Registry

開放式基金型公司－  
更改公司名稱通知書

Open-ended Fund Company –  
Notice of Change of Company Name

表格  
Form **OFCNC2**  
(SFC)

商業登記號碼  
Business Registration Number

註 Note

① **重要事項 Important Note**

此表格須經由證券及期貨事務監察委員會交付公司註冊處。  
This form should be delivered to the Securities and Futures Commission for sending to the Companies Registry.

⑧ 1 現有公司英文名稱 Existing English Company Name

現有公司中文名稱 Existing Chinese Company Name

⑨ 2 擬用的公司英文名稱 Proposed English Company Name

擬用的公司中文名稱 Proposed Chinese Company Name

⑥ 簽署 Signed :

姓名 Name : \_\_\_\_\_ 日期 Date : \_\_\_\_\_  
董事 Director 日 DD / 月 MM / 年 YYYY

③ 提交人資料 Presentor's Reference

中文姓名／名稱 Name in Chinese	<input type="text"/>
英文姓名／名稱 Name in English	<input type="text"/>
地址 Address	<input type="text"/>
電話 Tel	<input type="text"/> 傳真 Fax <input type="text"/>
電郵 Email	<input type="text"/>
檔號 Reference	<input type="text"/>

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《證券及期貨(開放式基金型公司)規則》(第 571AQ 章)  
第 9(4)條規定交付的

開放式基金型公司 — 更改公司名稱通知書

填表須知 — 表格 OFCNC2(SFC)

附註

引言

1. 根據《證券及期貨(開放式基金型公司)規則》(「該規則」)第 9(1)條，除非證券及期貨事務監察委員會(「證監會」)已核准開放式基金型公司更改名稱，否則公司不得更改其名稱。公司須在獲發證監會核准通知的日期後的 15 日內，按該規則第 9(4)條以本表格將有關更改公司名稱的通知，並連同正確的費用，經由證監會交付公司註冊處處長登記。證監會會將表格及費用送交公司註冊處。公司名稱的更改，在發出有關更改名稱證明書的日期生效。
2. 如以中文申報本表格內的資料，請用繁體字。以手寫方式填寫的表格或不會被公司註冊處接納。
3. 請提供提交人資料。除非有特別事項需要公司註冊處注意，否則無須另加附函。公司註冊處會以電郵或傳真方式通知提交人親身領取開放式基金型公司的「公司更改名稱證明書」。如提交人委託他人代領，需簽署授權書。
4. 你可郵寄或親身交付本表格到「香港鰂魚涌華蘭路 18 號港島東中心 54 樓證券及期貨事務監察委員會」。如以郵寄方式交付表格而證監會並沒有收到該表格的話，則該表格不會視作曾為遵從該規則中有關條文的規定而作出交付。

費用

5. 本表格必須連同正確的費用經由證監會交付公司註冊處，否則公司註冊處不會接納登記。有關所需費用的詳情，請參閱公司註冊處《開放式基金型公司 — 向公司註冊處交付文件登記》資料小冊子。請以港幣劃線支票繳付費用，抬頭請註明「公司註冊處」。

簽署

6. 本表格必須由一名董事簽署。公司註冊處不接納未簽妥的表格。

商業登記號碼

7. 請填報由稅務局轄下的商業登記署所發出的商業登記號碼(即商業登記證號碼的首 8 位數字)，「-」後的數字無須填寫。

現有公司名稱 (第 1 項)

8. 如公司具有英文及中文名稱，請在有關空格內填報這些名稱。如公司沒有英文或中文名稱，請在有關空格內填上「無」。

擬用的公司名稱 (第 2 項)

9. 公司如欲保留現有的英文或中文名稱，必須在有關空格內填上現有名稱。如公司在更改名稱後沒有英文或中文名稱，請在有關空格內填上「無」。

## OPEN-ENDED FUND COMPANY – NOTICE OF CHANGE OF COMPANY NAME

For the purposes of rule 9(4) of  
Securities and Futures (Open-ended Fund Companies) Rules (Cap. 571AQ)

### Notes for Completion of Form OFCNC2(SFC)

#### Introduction

1. Under rule 9(1) of the Securities and Futures (Open-ended Fund Companies) Rules (the Rules), an open-ended fund company must not change its name unless the Securities and Futures Commission (the Commission) has approved the change. Within 15 days after the date on which the company is given notice of the Commission's approval, the company must, pursuant to rule 9(4) of the Rules, deliver a notice of the change of name of the company in this form together with the correct fees to the Registrar of Companies for registration **through the Commission**. The Commission will send the form and the fees to the Companies Registry. The change of name of the company has effect from the date on which the certificate of change of name is issued.
2. Traditional Chinese characters should be used if the form is completed in Chinese. Please note that handwritten forms may be rejected by the Companies Registry.
3. Please complete the Presentor's Reference. Unless the presentor needs to raise a specific issue for the attention of the Companies Registry, no covering letter is required. The Companies Registry will notify the presentor by email or fax to collect the Certificate of Change of Name of the open-ended fund company in person. A written authorization will be required if the presentor sends a representative to collect the certificate.
4. This form can be delivered to the Commission by post or in person to "**The Securities and Futures Commission, 54/F, One Island East, 18 Westlands Road, Quarry Bay, Hong Kong**". If the form is delivered by post but the Commission has not received it, the form will not be regarded as having been delivered in satisfaction of the relevant provision of the Rules.

#### Fee

5. This form must be delivered with the correct fees to the Companies Registry through the Commission. A form which is not delivered with the correct fees will be rejected by the Companies Registry for registration. Please refer to the Companies Registry's information pamphlet on 'Open-ended Fund Company - Delivery of Documents to the Companies Registry for Registration' for the correct fees payable. **The fees should be paid by cheque which should be crossed and issued in Hong Kong Dollars payable to 'Companies Registry'**.

#### Signature

6. This form must be signed by a director. A form which is not properly signed will be rejected by the Companies Registry.

#### Business Registration Number

7. Please provide the business registration number (i.e. the first 8 digits of the Business Registration Certificate number) issued by the Business Registration Office of the Inland Revenue Department. The numbers after [-] are not required.

#### Existing Company Name(s) (Section 1)

8. If the company has an English and a Chinese name, please state the names in the appropriate boxes. If the company does not have any English or Chinese name, please insert 'NIL' in the appropriate box.

#### Proposed Company Name(s) (Section 2)

9. If the company wishes to retain its existing English or Chinese name, such name **must** be stated in the appropriate box. If the company does not have any English or Chinese name after change of name, please insert 'NIL' in the appropriate box.