



公司註冊處
Companies Registry

開放式基金型公司－
法團成立文書修改通知書
**Open-ended Fund Company –
Notice of Alteration of
Instrument of Incorporation**

表格 **OFCIN1**
Form

商業登記號碼
Business Registration Number

註 Note 1 公司名稱 **Company Name**

2 法團成立文書的修改 **Alteration of Instrument of Incorporation**

A. 修改通知 **Notice of Alteration**

上述公司已藉以下方式修改其法團成立文書－

The above company has altered its instrument of incorporation in the following manner –

4

提交人資料 **Presentor's Reference**

姓名／名稱 **Name:**

地址 **Address:**

電話 **Tel:**

傳真 **Fax:**

電郵 **Email:**

檔號 **Reference:**

請勿填寫本欄 **For Official Use**

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2 法團成立文書的修改 Alteration of Instrument of Incorporation (續上頁 cont'd)

B. 修改的生效日期
Date on which the Alteration Takes Effect

日 DD	月 MM	年 YYYY

8 C. 隨本表格交付的文件
Document(s) Delivered with this Form
請在適用的空格內加上 ✓ 號 Please tick the relevant box

☐ 經修改的法團成立文書的經核證文本
A certified copy of the instrument of incorporation as altered

☐ 原訟法庭命令的正式文本及經該命令修改的法團成立文書的文本
An office copy of the court order and a copy of the instrument of incorporation as altered by the order

6 簽署 Signed :

姓名 Name : _____ 日期 Date : _____
董事 Director 日 DD / 月 MM / 年 YYYY

**《證券及期貨(開放式基金型公司)規則》(第 571AQ 章)
第 15 條規定交付的**

開放式基金型公司－法團成立文書修改通知書

填表須知 — 表格 OFCIN1

附註

引言

1. 開放式基金型公司在符合《證券及期貨(開放式基金型公司)規則》(「該規則」)第 14 條的規定下，可修改其法團成立文書。在修改的生效日期後的 15 日內，公司須將關於該項修改的通知，以本表格交付公司註冊處處長(「處長」)登記。
2. 如開放式基金型公司的法團成立文書的任何條文或任何條文的效力，被原訟法庭命令修改，該公司須在該項修改生效的日期後的 15 日內，將關於該項修改的通知，以本表格交付處長登記。
3. 請劃一以中文或英文申報各項所需資料。如以中文申報本表格內的資料，請用繁體字。以手寫方式填寫的表格或不會被公司註冊處接納。
4. 請提供提交人資料。除非有特別事項需要公司註冊處注意，否則無須另加附函。
5. 你可郵寄本表格到「香港金鐘道 66 號金鐘道政府合署 14 樓公司註冊處」，或親身到上址交付。如以郵寄方式交付表格而處長並沒有收到該表格的話，則該表格不會視作曾為遵從該規則中有關條文的規定而交付處長。

簽署

6. 本表格必須由一名董事簽署。公司註冊處不接納未簽妥的表格。

商業登記號碼

7. 請填報由稅務局轄下的商業登記署所發出的商業登記號碼(即商業登記證號碼的首 8 位數字)，「-」後的數字無須填寫。

法團成立文書的修改 (第 2 項)

8. (a) 公司在交付本表格時，須連同該公司經修改的法團成立文書的文本一併交付，該文本須由該公司的一名董事核證為正確。
- (b) 如公司的法團成立文書被原訟法庭命令修改，公司在交付本表格時，須隨附有關命令的正式文本，及經該命令修改的法團成立文書的文本。如有關公司已根據《證券及期貨條例》(第 571 章)的另一條文將有關命令的正式文本交付處長，則無須再次交付有關命令。

**OPEN-ENDED FUND COMPANY –
NOTICE OF ALTERATION OF INSTRUMENT OF INCORPORATION**

**For the purposes of rule 15 of
Securities and Futures (Open-ended Fund Companies) Rules (Cap. 571AQ)**

Notes for Completion of Form OFCIN1

Introduction

1. Subject to rule 14 of the Securities and Futures (Open-ended Fund Companies) Rules (the Rules), an open-ended fund company may alter its instrument of incorporation. The company must deliver to the Registrar of Companies (the Registrar) for registration a notice of the alteration in this form within 15 days after the date on which the alteration takes effect.
2. If any provision of, or the effect of any provision of, an open-ended fund company's instrument of incorporation is altered by an order of the Court, the company must, within 15 days after the date on which the alteration takes effect, deliver to the Registrar for registration a notice of the alteration in this form.
3. Please fill in all particulars and complete all items consistently in either Chinese or English. Traditional Chinese characters should be used if the form is completed in Chinese. Please note that handwritten forms may be rejected by the Companies Registry.
4. Please complete the Presentor's Reference. Unless the presentor needs to raise a specific issue for the attention of the Companies Registry, no covering letter is required.
5. This form can be delivered by post or in person to "The Companies Registry, 14th floor, Queensway Government Offices, 66 Queensway, Hong Kong". If the form is delivered by post but the Registrar has not received it, the form will not be regarded as having been delivered to the Registrar in satisfaction of the relevant provision of the Rules.

Signature

6. This form must be signed by a director. A form which is not properly signed will be rejected by the Companies Registry.

Business Registration Number

7. Please provide the business registration number (i.e. the first 8 digits of the Business Registration Certificate number) issued by the Business Registration Office of the Inland Revenue Department. The numbers after [-] are not required.

Alteration of Instrument of Incorporation (Section 2)

8. (a) This form should be delivered with a copy of the company's instrument of incorporation as altered which is certified by a director of the company as correct.

(b) If the company's instrument of incorporation is altered by an order of the Court, this form must be accompanied by an office copy of the order and a copy of the instrument of incorporation as altered by the order. If the company has already delivered an office copy of the order to the Registrar under another provision of the Securities and Futures Ordinance (Cap. 571), the company does not need to deliver an office copy of the order again.