

二零一一年根據《公司條例》被檢控的上市公司的定罪記錄

Conviction Record of Listed Companies Prosecuted Under the Companies Ordinance in 2011

| 項目 Item | 公司名稱 Name of Company | 違規事項(見附註) Types of Breaches (See Note) | 罰款數額(港元)* Fine Imposed (HKD) * |
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| 一月至十一月 January to November | | (二零一一年十二月記錄/Record of December 2011) | |
| 1 | 匯星印刷集團有限公司 1010 PRINTING GROUP LIMITED | 1 | \$15,000 |
| 2 | 鴻寶資源有限公司 AGRITRADE RESOURCES LIMITED | 1 | \$30,000 |
| 3 | ALCO HOLDINGS LIMITED | 1 | \$4,000 |
| 4 | ALLIED OVERSEAS LIMITED | 1 | \$25,000 |
| 5 | 安徽天大石油管材股份有限公司 ANHUI TIANDA OIL PIPE COMPANY LIMITED | 1 | \$13,200 |
| 6 | ASIA SATELLITE TELECOMMUNICATIONS HOLDINGS LIMITED | 1 | \$24,350 |
| 7 | 比高集團控股有限公司 BINGO GROUP HOLDINGS LIMITED | 1, 2 | \$85,000 |
| 8 | 博耳電力控股有限公司 BOER POWER HOLDINGS LIMITED | 2 | \$10,000 |
| 9 | 首都創投有限公司 CAPITAL VC LIMITED CARRYING ON BUSINESS IN H.K. AS CNI VC LIMITED | 1 | \$36,400 |
| 10 | CARPENTER TAN HOLDINGS LIMITED | 1 | \$45,400 |
| 11 | 建業地產股份有限公司 CENTRAL CHINA REAL ESTATE LIMITED | 1 | \$10,000 |
| 12 | 齊合天地集團有限公司 CHIHO-TIANDE GROUP LIMITED | 2 | \$15,000 |
| 13 | 中國奧園地產集團股份有限公司 CHINA AOYUAN PROPERTY GROUP LIMITED | 1 | \$5,000 |

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| 14 | 中國資本(控股)有限公司 CHINA ASSETS (HOLDINGS) LIMITED | 4 | \$4,000 |
| 15 | 中國網絡教育集團有限公司 CHINA E-LEARNING GROUP LIMITED | 2 | \$25,000 |
| 16 | CHINA GAS HOLDINGS LIMITED | 1 | \$20,000 |
| 17 | 中國黃金國際資源有限公司 CHINA GOLD INTERNATIONAL RESOURCES CORP. LTD. | 1 | \$30,000 |
| 18 | 中國林大綠色資源集團有限公司 CHINA GRAND FORESTRY GREEN RESOURCES GROUP LIMITED | 1 | \$50,000 |
| 19 | 中國海升果汁控股有限公司 CHINA HAISHENG JUICE HOLDINGS CO., LTD. | 1 | \$18,700 |
| 20 | 中國宏橋集團有限公司 CHINA HONGQIAO GROUP LIMITED | 1 | \$13,400 |
| 21 | 中國智能交通系統(控股)有限公司 CHINA ITS (HOLDINGS) CO., LTD. | 2 | \$15,000 |
| 22 | 康哲藥業控股有限公司 CHINA MEDICAL SYSTEM HOLDINGS LIMITED | 1, 2 | \$95,000 |
| 23 | 中國新經濟投資有限公司 CHINA NEW ECONOMY FUND LIMITED | 1 | \$15,000 |
| 24 | 中國公共採購有限公司 CHINA PUBLIC PROCUREMENT LIMITED | 1 | \$42,000 |
| 25 | 華南城控股有限公司 CHINA SOUTH CITY HOLDINGS LIMITED | 4 | \$10,000 |
| 26 | 中國陽光紙業控股有限公司 CHINA SUNSHINE PAPER HOLDINGS COMPANY LIMITED | 1, 2 | \$45,000 |
| 27 | 中國無線科技有限公司 CHINA WIRELESS TECHNOLOGIES LIMITED | 1 | \$15,000 |
| 28 | CIL HOLDINGS LIMITED | 1 | \$150,000 |
| 29 | 大同機械企業有限公司 COSMOS MACHINERY ENTERPRISES LIMITED | 4 | \$28,000 |
| 30 | 科瑞控股有限公司 CREATIVE ENERGY SOLUTIONS HOLDINGS LIMITED | 1 | \$33,000 |

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| 31 | 文化地標投資有限公司 CULTURE LANDMARK INVESTMENT LIMITED | 1 | \$15,000 |
| 32 | 財華社集團有限公司 FINET GROUP LIMITED | 1 | \$15,000 |
| 33 | 首華財經網絡集團有限公司 FIRST CHINA FINANCIAL NETWORK HOLDINGS LIMITED | 1 | \$15,000 |
| 34 | 環球乳業控股有限公司 GLOBAL DAIRY HOLDINGS LIMITED | 2 | \$30,000 |
| 35 | 高寶綠色科技集團有限公司 GLOBAL GREEN TECH GROUP LIMITED | 1 | \$38,600 |
| 36 | 金山工業(集團)有限公司 GOLD PEAK INDUSTRIES (HOLDINGS) LIMITED | 3 | \$17,800 |
| 37 | 榮豐聯合控股有限公司 GREAT HARVEST MAETA GROUP HOLDINGS LIMITED | 2 | \$15,000 |
| 38 | GREENFIELD CHEMICAL HOLDINGS LIMITED | 1 | \$30,000 |
| 39 | 廣州汽車集團股份有限公司 GUANGZHOU AUTOMOBILE GROUP CO., LTD. | 1 | \$13,500 |
| 40 | 泓淋科技集團有限公司 HL TECHNOLOGY GROUP LIMITED | 1 | \$20,000 |
| 41 | 洪橋集團有限公司 HONBRIDGE HOLDINGS LIMITED | 1 | \$48,400 |
| 42 | 香港生命集團控股有限公司 HONG KONG LIFE GROUP HOLDINGS LIMITED | 1 | \$25,000 |
| 43 | 洪良國際控股有限公司 HONTEX INTERNATIONAL HOLDINGS COMPANY LIMITED | 1 | \$15,000 |
| 44 | HOPSON DEVELOPMENT HOLDINGS LIMITED | 1 | \$22,000 |
| 45 | 華藝礦業控股有限公司 HUA YI COPPER HOLDINGS LIMITED | 1 | \$20,000 |
| 46 | 菱控有限公司 IMERCHANTS LIMITED | 4 | \$20,000 |
| 47 | 江晨國際控股有限公司 JIANGCHEN INTERNATIONAL HOLDINGS LIMITED | 1 | \$18,000 |
| 48 | 開源控股有限公司 KAI YUAN HOLDINGS LIMITED | 1 | \$15,000 |

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| 49 | 建滔化工集團有限公司 KINGBOARD CHEMICAL HOLDINGS LIMITED | 1 | \$55,500 |
| 50 | 聯華超市股份有限公司 LIANHUA SUPERMARKET HOLDINGS COMPANY LIMITED | 1 | \$44,700 |
| 51 | 敏華控股有限公司 MAN WAH HOLDINGS LIMITED | 1 | \$15,000 |
| 52 | 敏達控股有限公司 MANTA HOLDINGS COMPANY LIMITED | 1 | \$18,000 |
| 53 | MASCOTTE HOLDINGS LIMITED | 1 | \$20,950 |
| 54 | 明發集團(國際)有限公司 MINGFA GROUP (INTERNATIONAL) COMPANY LIMITED | 1, 2 | \$60,000 |
| 55 | 國盛投資基金有限公司 NATIONAL INVESTMENTS FUND LIMITED | 2 | \$53,800 |
| 56 | 北亞資源控股有限公司 NORTH ASIA RESOURCES HOLDINGS LIMITED | 2 | \$80,000 |
| 57 | 雷士照明控股有限公司 NVC LIGHTING HOLDING LIMITED | 1 | \$12,000 |
| 58 | 昂納光通信(集團)有限公司 O-NET COMMUNICATIONS (GROUP) LIMITED | 1 | \$10,000 |
| 59 | 中國石油天然氣股份有限公司 PETROCHINA COMPANY LIMITED | 2 | \$35,000 |
| 60 | 品創控股有限公司 PHOENITRON HOLDINGS LIMITED | 1 | \$10,000 |
| 61 | PME GROUP LIMITED | 1 | \$15,600 |
| 62 | 唯冠國際控股有限公司 PROVIEW INTERNATIONAL HOLDINGS LIMITED | 1 | \$21,350 |
| 63 | QIANLONG TECHNOLOGY INTERNATIONAL HOLDINGS LIMITED | 1 | \$20,000 |
| 64 | 皇朝傢俬控股有限公司 ROYALE FURNITURE HOLDINGS LIMITED | 1 | \$80,400 |
| 65 | SAME TIME HOLDINGS LIMITED | 1 | \$18,000 |
| 66 | 星亮控股股份公司 SCHRAMM HOLDING AG | 1 | \$20,000 |

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| 67 | 山東墨龍石油機械股份有限公司 SHANDONG MOLONG PETROLEUM MACHINERY COMPANY LIMITED | 5 | \$50,000 |
| 68 | 瀋陽公用發展股份有限公司 SHENYANG PUBLIC UTILITY HOLDINGS COMPANY LIMITED | 1 | \$49,200 |
| 69 | 中盈國金資源控股有限公司 SINO PROSPER STATE GOLD RESOURCES HOLDINGS LIMITED | 1 | \$23,100 |
| 70 | 神州資源集團有限公司 SINO RESOURCES GROUP LIMITED CARRYING ON BUSINESS IN H.K. AS SINO GP LIMITED | 1 | \$79,600 |
| 71 | 高鑫零售有限公司 SUN ART RETAIL GROUP LIMITED | 3 | \$15,000 |
| 72 | 太陽國際集團有限公司 SUN INTERNATIONAL GROUP LIMITED | 2 | \$25,000 |
| 73 | 新確科技有限公司 SUNCORP TECHNOLOGIES LIMITED | 1 | \$5,000 |
| 74 | TACK FAT GROUP INTERNATIONAL LIMITED | 1 | \$25,000 |
| 75 | 德普科技發展有限公司 TECH PRO TECHNOLOGY DEVELOPMENT LIMITED | 1 | \$26,000 |
| 76 | 德永佳集團有限公司 TEXWINCA HOLDINGS LIMITED | 1 | \$20,000 |
| 77 | TIANGONG INTERNATIONAL COMPANY LIMITED | 1 | \$13,040 |
| 78 | 天津發展控股有限公司 TIANJIN DEVELOPMENT HOLDINGS LIMITED | 3 | \$12,600 |
| 79 | 天時軟件有限公司 TIMELESS SOFTWARE LIMITED | 4 | \$30,000 |
| 80 | 北京同仁堂科技發展股份有限公司 TONG REN TANG TECHNOLOGIES CO. LTD. | 1 | \$25,000 |
| 81 | 進業控股有限公司 TSUN YIP HOLDINGS LIMITED | 2 | \$15,000 |
| 82 | 東大新材料照明控股有限公司 TUNGDA INNOVATIVE LIGHTING HOLDINGS LIMITED | 1 | \$110,400 |

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| 83 | UNITED COMPANY RUSAL PLC | 1 | \$60,000 |
| 84 | VALE SA | 1 | \$5,000 |
| 85 | 威高國際控股有限公司 VEEKO INTERNATIONAL HOLDINGS LIMITED | 1 | \$19,680 |
| 86 | 富譽控股有限公司 WEALTH GLORY HOLDINGS LIMITED | 1 | \$20,000 |
| 87 | 永暉焦煤股份有限公司 WINSWAY COKING COAL HOLDINGS LIMITED | 1, 2 | \$30,000 |
| 88 | 王氏國際(集團)有限公司 WONG'S INTERNATIONAL (HOLDINGS) LIMITED | 2 | \$20,000 |
| 89 | 西安海天天綫科技股份有限公司 XI'AN HAITIAN ANTENNA TECHNOLOGIES CO., LTD. | 2 | \$20,000 |
| 90 | 西王糖業控股有限公司 XIWANG SUGAR HOLDINGS COMPANY LIMITED | 1 | \$16,000 |
| 91 | YUSEI HOLDINGS LIMITED | 1 | \$15,000 |
| 92 | 中裕燃氣控股有限公司 ZHONGYU GAS HOLDINGS LIMITED | 1 | \$15,100 |

| 附註 (Note) | |
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| 1. | 未有或逾期遞交秘書、董事或授權代表的資料更改通知書 (《公司條例》第 335(1)(b)或 335(1)(c)條) Failure in or Late Filing of Notification of Changes of Secretary, Directors or Authorized Representatives under sections 335(1)(b) or 335(1)(c) of the Companies Ordinance |
| 2. | 未有或逾期遞交就非香港公司的註冊詳情更改而須遞交的通知書 (《公司條例》第 335 條, 不包括第 335(1)(b)及 335(1)(c)條) Failure in or Late Filing of Notification required for changes in the Registered Particulars of Non-Hong Kong Companies under section 335 except sections 335(1)(b) and 335(1)(c) of the Companies Ordinance |
| 3. | 未有或逾期遞交秘書及董事更改通知書(委任/離任) (《公司條例》第 158 條) Failure in or Late Filing of Notification of Change of Secretary and Director (Appointment/Cessation) under section 158 of the Companies Ordinance |
| 4. | 未有或逾期遞交股份分配申報表 (《公司條例》第 45 條) Failure in or Late Filing of Return of Allotments under section 45 of the Companies Ordinance |
| 5. | 未有或逾期遞交公司的周年申報表及有關的公司帳目 (《公司條例》第 109 或 334 / 336 條) Failure in or Late Filing of Annual Returns and Accounts under sections 109 or 334 / 336 of the Companies Ordinance |
| * | <p>a) 罰款已包括因持續失責所處的按日計算失責罰款 The fine includes a daily default fine for continued default</p> <p>b) 罰款祇涉及被定罪的上市公司, 並不涉及其附屬公司 The fine relates only to the listed company convicted of the offence and does not relate to its subsidiaries</p> |