

FORM 3

MONEY LENDERS ORDINANCE

(Chapter 163)

APPLICATION BY A COMPANY FOR A LICENCE

(To be completed in triplicate)

Application is hereby made to the Registrar for a licence under Part II of the Money Lenders Ordinance.

Please answer the following questions —

(If there is insufficient space provided for your answer, please continue on a separate sheet of paper, specifying the question number to which your answer relates, and add your signature.)

1. State —

- (a) the name and any former names of the company
(in English and Chinese)

In English:

In Chinese:

- (b) the place of incorporation

- (c) the date of incorporation

- (d) if a non-Hong Kong company, as defined by section 2(1) of the Companies Ordinance (Cap. 622), the date of the certificate of registration issued in respect of the company under —

- (i) Part XI of the Companies Ordinance (Cap. 32) as in force at the time of the issue; or
(ii) section 777 of the Companies Ordinance (Cap. 622)

- (e) the address of the company's registered office (Post Office Box number is not acceptable)

- (f) the address and telephone number of each place at or from which the company's business as a money lender is carried on (Post Office Box numbers are not acceptable)

1.

2.

3.

2. Give the following details of every existing director of the company—

	1	2	3	4	5	6
Name in English						
Name in Chinese characters (if applicable) and code						
Aliases						
Residential address (Post Office Box numbers are not acceptable)						
Hong Kong identity card number						

3. Give the following details of every person (other than a person named in question 2) who has held office as a director of the company during the period of 12 months immediately preceding the date of this application—

	1	2	3	4	5	6
Name in English						
Name in Chinese characters (if applicable) and code						
Aliases						
Residential address (Post Office Box numbers are not acceptable)						
Period of service as a director						
Hong Kong identity card number						

4. Give the following particulars of any persons (other than the directors named in question 2 above) who will have the authority of the company to grant loans and arrange terms –

	1	2	3	4
Name in English				
Name in Chinese characters (if applicable) and code				
Aliases				
Residential address (Post Office Box numbers are not acceptable)				
Hong Kong identity card number				

5. Give the following particulars of any persons (other than the directors named in question 2 and the persons named in question 4 above) who are to participate actively in the business of the company as a money lender –

	1	2	3	4
Name in English				
Name in Chinese characters (if applicable) and code				
Aliases				
Residential address (Post Office Box numbers are not acceptable)				
Hong Kong identity card number				

6. (a) Will any person, other than the directors named in question 2 above, have any power to exercise control over, or in relation to, the business of the company as a money lender? (answer “yes” or “no”)

(b) If so, give the following details of those persons –

	1	2	3	4
Name in English				
Name in Chinese characters (if applicable) and code				
Aliases				
Residential address (Post Office Box numbers are not acceptable)				
Nature of Control				

7. (a) Give the following details of the 6 principal shareholders of the company or of all the shareholders if less than 6 –

	1	2	3	4	5	6
Name of shareholder in English						
Name in Chinese characters (if applicable) and code						
Aliases						
Residential address (Post Office Box numbers are not acceptable)						
Particulars of shareholdings (including the number and nominal value (if any) of the shares held by the shareholders and the total number of shares issued by the company)						

(b) If any or the principal shareholders given in answer to question 7(a) above is not the beneficial owner of shares held by him, then give the following details in respect of such shareholdings—

	1	2	3	4
Name of shareholder				
Particulars of shareholdings not beneficially owned				
Name of the beneficial owner in English				
Name in Chinese characters (if applicable) and code				
Aliases				
Residential address (Post Office Box numbers are not acceptable)				

I declare that all information given by me in this application is true and correct.

Dated this _____ day of _____

Signed _____
A person authorized in this behalf by the company [Note: evidence of authorization should be produced].

PLEASE NOTE

1. You are advised –
 - (a) to read the Money Lenders Ordinance and the Money Lenders Regulations before submitting this application; and
 - (b) not to spend money on the establishment of premises before a licence is granted.
2. A statement in support of this application (Form 5) must also be completed (section 8(1) of the Ordinance).
3. The fee payable upon submitting this application is \$8,800.
4. The original and one copy of –
 - (a) this application; and
 - (b) the statement in support.together with the fee payable on application, should be posted or delivered to the Registrar.
5. One copy of –
 - (a) this application; and
 - (b) the statement in support,should be posted or delivered to the Commissioner of Police at the same time as the documents are posted or delivered to the Registrar.
6. A further fee of \$1,910 will be payable to the licensing court on the grant of a licence.
7. You are advised that, in accordance with the definition of “company” in section 2(1) of the Money Lenders Ordinance, references in this application to “company” mean a body corporate –
 - (a) incorporated under the Companies Ordinance (Cap. 622);
 - (ab) incorporated under a former Companies Ordinance as defined by section 2(1) of the Companies Ordinance (Cap. 622);
 - (b) incorporated by any other Ordinance; or
 - (c) incorporated or established outside Hong Kong.

WARNING – Section 29(2) of the Money Lenders Ordinance makes it an offence punishable by a fine of \$100,000 and imprisonment for 2 years for a person to make a false or misleading statement or furnish false or misleading information in connection with any application for a licence.